

Statement Under Oath of Robert Hardman

Date: May 27, 2010

Case:

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STATEMENT UNDER OATH

OF

ROBERT HARDMAN

taken pursuant to Notice by Brett Steele, a
Court Reporter and Notary Public in and for the
State of West Virginia, at the National Mine
Health and Safety Academy, 1301 Airport Road,
Room C-137, Beaver, West Virginia, on Thursday,
May 27, 2010, beginning at 8:10 a.m.

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1 APPEARANCES (cont.)

2

- 3 JOHN KINDER
- 4 West Virginia Office of Miners' Health,
- 5 Safety and Training
- 6 1615 Washington Street East
- 7 Charleston, WV 25311

8

- 9 PATRICK C. MCGINLEY
- 10 West Virginia Independent Investigation
- 11 West Virginia University College of Law
- 12 P.O. Box 6130
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14

- 15 Also present:
- 16 Wally McMasters MSHA
- 17 Bill Tucker State
- 18 Richard Stoltz MSHA
- 19 Agent Wilson MSHA
- 20 Thomas Morley MSHA
- 21 Dana Ferguson, Esquire Office of the Solicitor
- 22 Clete Stephan MSHA
- 23 Suzanne Weise McAteer independent team
- 24 Norman Page MSHA
- 25 John Godsey MSHA

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- 1 PROCEEDINGS
- 2 ------
- 3 ATTORNEY BABINGTON:
- 4 My name is Matt Babington. Today is May
- 5 27th, 2010. I'm with the Office of the Solicitor,
- 6 U.S. Department of Labor. With me is Tim Watkins, an
- 7 accident investigator with the Mine Safety and Health
- 8 Administration, an agency of the U.S. Department of
- 9 Labor. Also present are several people from the State
- 10 of West Virginia. I ask that they state their
- 11 appearance for the record.
- 12 MR. FARLEY:
- 13 I'm Terry Farley, with the West Virginia
- Office of Miners' Health, Safety and Training.
- 15 MR. KINDER:
- 16 John Kinder, with the West Virginia
- 17 Office of Miners' Health, Safety and Training.
- 18 ATTORNEY BABINGTON:
- 19 There are several members of the
- investigation team also present in the room today.
- 21 Tim Watkins will be conducting initial questioning.
- 22 All members of the Mine Safety and Health Accident
- 23 Investigation Team and all members of the State of
- 24 West Virginia Accident Investigation Team
- 25 participating in the investigation of the Upper Big

- 1 Branch Mine explosion shall keep confidential all
- 2 information that is gathered from each witness who
- 3 voluntarily provides a statement until the witness
- 4 statements are officially released. MSHA and the
- 5 State of West Virginia shall keep this information
- 6 confidential so that other ongoing enforcement
- 7 activities are not prejudiced or jeopardized by a
- 8 premature release of information. This
- 9 confidentiality requirement shall not preclude
- 10 investigation team members from sharing information
- 11 with each other or with other law enforcement
- officials. Your participation in this interview
- constitutes your agreement to keep this information
- 14 confidential. Off the record.
- 15 OFF RECORD DISCUSSION
- 16 ATTORNEY BABINGTON:
- 17 Back on the record. A member from the
- independent state team just appeared. Would you state
- 19 your name for the record?
- 20 MR. MCGINLEY:
- 21 Patrick C. McGinley.
- 22 ATTORNEY BABINGTON:
- 23 Okay. And you're part of Davitt's team?
- 24 MR. MCGINLEY:
- 25 Part of Davitt's independent

- 1 investigation team. Correct.
- 2 ATTORNEY BABINGTON:
- 3 Okay. Government investigators and
- 4 specialists have been assigned to investigate the
- 5 conditions, events and circumstances surrounding the
- fatalities that occurred at the Upper Big Branch Mine-
- 7 South on April 5th, 2010. The investigation is being
- 8 conducted by MSHA under Section 103(a) of the Federal
- 9 Mine Safety and Health Act and the West Virginia
- 10 Office of Miners' Health, Safety and Training. We
- 11 appreciate your assistance in this investigation.
- 12 After the investigation is complete, MSHA
- will issue a public report detailing the nature and
- causes of the fatalities in the hope that greater
- 15 awareness about the causes of accidents can reduce
- 16 their occurrence in the future. Information obtained
- through witness interviews is frequently included in
- these reports. You should know that if you request
- 19 confidentiality, confidentiality will only be granted
- on a case-by-case basis. Your statement may also be
- 21 used in other proceedings.
- 22 You may have a personal representative
- 23 present during the taking of the statement and you may
- 24 consult with the representative at any time. Do you
- 25 have a representative here today?

- 1 MR. HARDMAN:
- 2 I don't.
- 3 ATTORNEY BABINGTON:
- 4 Thank you. Your statement is completely
- 5 voluntary. You may refuse to answer any question.
- 6 You may terminate your interview at any time or
- 7 request a break at any time. Since this is not an
- 8 adversarial proceeding, formal Cross Examination will
- 9 not be permitted. However, in this case you may ask
- 10 clarifying questions as appropriate.
- 11 A court reporter will record your
- 12 interview. Please speak loudly and clearly. If you
- do not understand a question asked, please ask me to
- rephrase it. Please answer each question as fully as
- 15 you can, including any information you may have
- 16 learned from someone else.
- 17 I'd like to thank you in advance for your
- 18 appearance here. We appreciate your assistance in
- 19 this investigation. Your cooperation is critical in
- 20 making the nation's mines safer.
- 21 After we've finished asking questions,
- 22 you'll have an opportunity to make a statement and
- 23 provide us with any information that you believe to be
- important. If at any time after the interview you
- 25 recall any additional information you believe that

- 1 might be useful, please contact Norman Page at the
- 2 contact information previously provided.
- 3 I'd like to note for the record before we
- 4 start that Mr. Hardman has requested a written copy of
- 5 his transcript and, if available, an audio copy as
- 6 well. Mr. Hardman?
- 7 ------
- 8 ROBERT HARDMAN, HAVING FIRST BEEN DULY SWORN,
- 9 TESTIFIED AS FOLLOWS:
- 10 -----
- 11 EXAMINATION
- 12 BY MR. WATKINS:
- 13 Q. Okay. Good morning, Bob.
- 14 A. Hi, Tim.
- Q. We appreciate you coming here this morning, now.
- 16 A. Not a problem.
- 17 Q. I got some preliminary questioning. I just need
- to get --- formalities to get out of the way. Could
- 19 you please state your full name and spell your last
- 20 name, please?
- 21 A. My full name is Robert, middle initial is G.,
- 22 Hardman, H-A-R-D-M-A-N.
- Q. And could you please state your address and your
- telephone number, please?
- 25 A. My home address?

- 1 O. Yes.
- 2 A. (b) (7)(C)
- 3 --- (b) (7)(C)
- 4 Q. Okay. And are you appearing here today
- 5 voluntarily?
- 6 A. Yes.
- 7 Q. Okay. What was your current duty station?
- 8 A. My current duty station's Mount Hope, West
- 9 Virginia, District 4.
- 10 Q. How long you been there?
- 11 A. I been --- I been there most of my career in
- 12 District 4, and in Mount Hope specifically as district
- manager since August the 7th, 2006, acting up through
- October and then as the DM since that period of time
- 15 to current.
- 16 Q. Okay. Could you just give me a brief --- a brief
- 17 history of your work experience and where you worked
- 18 at, where you started at?
- 19 A. Yes. I worked in the industry until I was 30, 37.
- 20 I came in the agency in 1988. I worked in the coal
- industry, underground mining, certified mine foreman
- 22 up until I came in the agency.
- 23 Q. Okay. And when did you come into the agency?
- A. I came in the agency in the spring of 1988.
- 25 Q. Okay.

- 1 A. July 18th, I believe, was the --- was the date.
- 2 O. Where'd you start at when you first came in?
- 3 A. I started initially in District 4, in training in
- 4 Logan, in the Logan Field Office. I transferred to
- 5 Pineville Field Office in District 4. From there they
- 6 transferred me to Mount Hope. In the district, I went
- 7 from District 4 to District 6 for a few years and then
- 8 came back again August of 2006.
- 9 Q. You mentioned you had a --- you're a certified
- 10 mine foreman. Do you have any other certificates or
- 11 certifications?
- 12 A. I'm an electrician also. And, you know, I mean, I
- have the normal certifications that you would have in
- the agency, impoundment, impoundment certification,
- 15 you know, dust, all of those.
- 16 Q. Okay. You stated your current position is
- district manager, District 4.
- 18 A. That's correct.
- 19 Q. Okay. With that title, what are your
- 20 responsibilities?
- 21 A. Well, I'm responsible for the entire district, the
- technical programs, enforcement program, throughout
- the entire district. It's comprised of seven field
- 24 offices and about --- it'll average 209, 210, 209, 210
- 25 people in the total program.

- 1 Q. Okay. And how many mines are you responsible for?
- 2 A. That varies from a high of about 406 down to mid-
- 3 300. It fluctuates quite a bit up and down, but it'll
- 4 average somewhere in the neighborhood of 370, 375,
- 5 would be a good average.
- 6 Q. And that number includes both surface and
- 7 underground ---
- 8 A. Yes ---
- 9 Q. --- facilities, everything?
- 10 A. Surface mine facilities and underground mines.
- 11 Q. Okay. How many underground mines, active
- 12 underground mines, approximately, do you have in the
- 13 district?
- 14 A. Oh, it'll run somewhere probably 170. I'd have
- 15 --- I'd have to ---. I'd have to look at that exact
- 16 figure ---
- 17 Q. Yeah.
- 18 A. --- today.
- 19 Q. I know it --- I know it fluctuates a little bit,
- 20 but ---.
- 21 A. Yeah.
- Q. Okay. And the UBB mine was assigned to which
- 23 field office?
- 24 A. UBB --- UBB Mine is assigned to the Mount Hope
- 25 Field Office in the District Office.

- 1 Q. Okay.
- 2 BRIEF INTERRUPTION
- A. Just a second. I'll turn this thing off. Okay.
- 4 Go ahead.
- 5 BY MR. WATKINS:
- 6 Q. Okay. You were stating that the UBB Mine was
- 7 assigned to the Mount Hope Field Office.
- 8 A. Uh-huh (yes).
- 9 Q. About how many mines are assigned to that field
- 10 office? Do you know?
- 11 A. That's a good question, probably somewhere in
- 12 the ---. You know, I really --- I'd have to research
- 13 that, Tim ---
- 14 Q. Okay.
- 15 A. --- to get --- to get you an exact number.
- 16 Q. Okay. Do you have a ballpark number?
- 17 A. Maybe 70.
- 18 Q. Seventy (70)?
- 19 A. It would be somewhere a ballpark in my mind. I
- 20 could get that for you.
- Q. Okay. That's surface and underground or ---?
- 22 A. That's all.
- 23 Q. Okay.
- A. Uh-huh (yes).
- Q. Okay. And do you know about how many inspectors

- 1 you have out of that field office?
- 2 A. Can't tell you the exact number. Our work groups
- 3 will --- will usually average about --- about ten.
- 4 And that office will probably average --- right now,
- 5 since we ramped up, somewhere in the neighborhood of
- 6 20, total.
- 7 Q. Okay. Last, last couple years we've had an
- 8 increase of hiring. We've hired some mine inspectors.
- 9 What would you say in --- throughout the district,
- 10 what would be the average experience of your
- 11 workforce?
- 12 A. I'd say our average experience throughout the
- district is probably somewhere in the neighborhood of
- three years.
- 15 Q. Okay. So you had a large influx of new
- 16 inspectors?
- 17 A. Yes.
- 18 O. Okay.
- 19 A. We have.
- 20 Q. Okay. Let's talk a bit about --- about the plans.
- 21 Do you have a standard operating procedure for when a
- company brings in a plan, submits a plan to the
- 23 district for approval?
- 24 A. Yes.
- Q. Could you --- could you walk me through that,

- 1 please?
- 2 A. Sure. When they bring a plan in, into the office
- or we receive it in the office, the first thing that
- 4 happens is it's date stamped. And then if it's a ---
- if it's a plan with any degree of complexity, you
- 6 know, we may discuss it beforehand, but in general.
- 7 And then it's routed to the appropriate tech
- 8 department to begin the review process. And then,
- 9 again, depending on complexity of the plan itself,
- 10 there may be discussions between the technical
- 11 specialists, the supervisor of the department, the
- 12 pertinent department and the tech ADM, myself, as it
- progresses through the review for approval or
- 14 disapproval.
- 15 The plan, after the --- that process, if they feel
- that it's --- the review is completed, then they
- 17 recommend either approval or disapproval through the
- 18 department specialist and then the department
- 19 supervisor. And then at that point in time the CMI in
- the field office and the CMI assigned to the mine,
- 21 they discuss the plan with them and solicit their
- 22 comments.
- 23 Then it comes back and it's routed through the
- 24 enforcement ADM that's assigned the mine, the
- 25 responsible division. And we have two divisions in

- 1 District 4, Division One, Division Two. Then it's
- 2 routed through the technical ADM, and then it comes to
- 3 me for my review, either approval or disapproval.
- 4 Q. Okay. You mentioned you had two --- two
- 5 divisions, and which has the ADM for each division?
- 6 A. Yes.
- 7 Q. Who are the two ADMs and which division are they
- 8 assigned?
- 9 A. Division One is Lincoln Selfe, and that ---
- 10 Division Two is Luther Marrs.
- 11 Q. Okay. And UBB would fall under which, which one
- of those ADMs?
- 13 A. Division One, Lincoln Selfe.
- 14 Q. Okay. Let's just talk --- focus on the UBB Mine
- 15 now. I know you got a lot --- lot of mines in the
- 16 district, but let's just concentrate on this one. Do
- 17 you know who normally brings in the plans for Massey
- in regards to the UBB Mine?
- 19 A. The only thing I can tell you, Tim, is they have a
- 20 group called Route 3 Engineering. And normally those
- 21 folks from that engineering department bring those
- 22 plans in to Mount Hope.
- Q. Route 3, is that a Massey affiliate or are they a
- 24 contractor or how does that ---?
- 25 A. They're A.T. Massey employees. They're a

- division. They're not --- they're not an independent
- 2 contractor. They do not have an MSHA independent
- 3 contractor ID.
- 4 Q. Okay.
- 5 A. But they're a separate entity of A.T. Massey in my
- 6 district.
- 7 Q. Okay. Do they service other mines besides,
- 8 besides UBB?
- 9 A. Yes.
- 10 Q. Okay. Do you know who the engineers are?
- 11 A. You know, there are several --- several engineers.
- 12 They have an engineer, his name's Matt Walker.
- 13 There's an engineer that I can't even pronounce his
- 14 name. They call him Reddy.
- 15 O. Okay.
- 16 A. He's of Indian descent.
- 17 Q. Okay.
- 18 A. But those --- those are the ones that I can
- 19 recall. I don't deal directly with those engineers a
- 20 lot, ---
- 21 O. Yeah.
- 22 A. --- you know. I deal --- I deal primarily with
- 23 management, if it comes to my level and we have a
- 24 meeting, ---
- 25 Q. Okay.

- 1 A. --- and not directly with the engineers.
- Q. Okay. Looking through some of the plans, there's
- 3 an engineer named Eric Lilly. Do you know ---?
- 4 A. He submits, yes. Eric is also an engineer.
- 5 Q. Is he part of Route 3 or is he ---?
- 6 A. That's a good question. I can't answer that
- 7 honestly. I know he's affiliated with A. --- A.T.
- 8 Massey for certain. And Tim, I don't know whether
- 9 officially he's assigned to this mine as an engineer
- or whether he's officially part of the Route 3
- 11 Engineering group.
- 12 Q. Okay.
- 13 A. I can't honestly answer that question.
- Q. You mentioned that you had meetings, occasionally
- had meetings regarding plans, submittals.
- 16 A. Uh-huh (yes).
- 17 Q. Do you remember having any meetings regarding UBB
- 18 ventilation plans?
- 19 A. I can't recall a specific meeting relative to the
- ventilation plan for this mine. I'm familiar with
- 21 some of the issues that we've had with the mine and
- 22 how they progressed through the ventilation
- 23 department, but as far as specific meetings on
- ventilation at this mine, ---
- 25 Q. Okay.

- 1 A. --- I'd have to look.
- Q. Okay.
- 3 A. And I have with me --- I have with me a record of
- 4 the meetings that I have had, Tim. I just have to ---
- 5 I'd have to research that, but I can't recall any
- 6 major meetings relative to the ventilation plan itself
- 7 at this mine.
- 8 Q. Okay. And we may take a look at those a little
- 9 bit later, but you mentioned that you were familiar
- 10 with the issues at UBB. What would those issues be?
- 11 A. The issue that really stands out and that there
- 12 was a lot of --- lot of discussion, the ventilation
- for Headgate 22 area, Tailgate 22 area, which is on
- this map, Headgate 22, Tailgate 22.
- 15 O. Okay.
- 16 A. That used to flow through the Number Three entry.
- 17 It was a dedicated return on the longwall headgate.
- 18 And they had --- they had issues in this area, ground
- 19 control issues, and water issues. And you can see the
- water between Break 70 and 75 of the longwall
- 21 headgate. And our folks --- our folks went in the
- 22 mine. We cited 'em for that.
- 23 And there was a lot of discussion relative to
- 24 this, this return. And the end product was that the
- 25 return was routed out Headgate One North across

- 1 overcasts and it comes down on the outside of the
- 2 tailgate entry, on the solid side of the tailgate
- 3 entry and back to Bandytown fan.
- 4 Q. Okay.
- 5 ATTORNEY BABINGTON:
- 6 To clarify with this, when the air ---
- 7 when it returned, it traveled down Headgate One North,
- 8 did it then travel down the crossover entries?
- 9 A. That's the end product.
- 10 ATTORNEY BABINGTON:
- 11 Okay.
- 12 A. Initially --- initially this Number Three entry of
- the current One North Headgate was the return airway,
- which they were having ground control issues and water
- issues in that entry. And there was a lot of
- 16 discussion back and forth between the company and our
- ventilation department to resolve this, but the end
- 18 product was that they submitted us a plan to change
- 19 this and bring it across the overcast on the cut-
- 20 through and over into tailgate One North back to
- 21 Bandytown fan. That's how --- that's how they elected
- 22 to resolve that.
- 23 BY MR. WATKINS:
- Q. Do you remember when that was?
- 25 A. I can tell you ---

- 1 Q. Okay.
- 2 A. --- when it was, but it'll take me a second. I
- 3 have to dig in here, but I do have somewhere here a
- 4 --- probably the date on that.
- 5 O. The discussions for that started in December of
- 6 2009. And that would've been --- that would've been
- 7 the 3rd of December 2009, and it was the submittal.
- 8 We denied that submittal because it had problems with
- 9 not meeting the requirements of the regulation and
- 10 policy. On the 4th of December, we received a plan.
- I sent a denial letter out. Then the 9th of December,
- the company made a submittal which was denied.
- 13 The 18th of December they submitted a plan
- addressing the total issue, and it was approved. I
- approved it. And the biggest issue on the submittals
- that I've discussed so far was belt air.
- 17 Then on January the 22nd, I approved their
- 18 submitted plan to change the intake air on Headgate
- 19 One North return air for Tailgate 22.
- 20 No, excuse me, that --- strike that one. Those
- 21 were the dates.
- 22 BRIEF INTERRUPTION
- 23 A. Excuse me just a second.
- 24 BY MR. WATKINS:
- 25 Q. Uh-huh (yes).

- 1 A. Okay. Let me take this call.
- 2 Q. Okay.
- 3 ATTORNEY BABINGTON:
- 4 Go off the record.
- 5 BRIEF INTERRUPTION
- 6 ATTORNEY BABINGTON:
- 7 Back on the record.
- 8 BY MR. WATKINS:
- 9 Q. Okay.
- 10 A. But those were the discussions and the prompt of
- 11 the order that I have, Tim.
- 12 Q. Okay. So basically it started around December the
- 3rd and went to the 18th on the first submittal for
- the --- for rerouting the return air off the other two
- 15 sections?
- 16 A. Yeah, this was in --- it began in December and
- 17 extended --- extended up through January.
- Q. Now, you also mentioned that --- was there any
- 19 discussions with company people at this time? I
- 20 believe you said you may have some notes where you had
- some meetings with the company folks? Did you
- 22 have ---?
- 23 A. Let me go back here and in that period of time and
- let me take a look and see ---
- 25 Q. Okay.

- 1 A. --- what I actually --- actually have. All right.
- 2 Meeting. I was in a meeting with these folks on the
- 3 10th of December of 2009.
- 4 Q. While you're looking there, Bob, do you know who
- 5 you met with?
- 6 A. I don't have that list with me, Tim.
- 7 Q. Uh-huh (yes).
- 8 A. Wait a minute. Excuse me. Correct myself. I
- 9 believe I do. Hold on.
- 10 Q. Okay.
- 11 A. I do. Let me --- let me go through and find that.
- 12 All right. The listing for that meeting was myself;
- 13 Chris Blanchard, who was the president of Performance
- 14 Coal Company; Jamie Ferguson, who is the general
- manager for Performance Coal Company; Matt Walker, who
- is a mine engineer for Performance Coal Company; Jason
- Whitehead, who's the president of the Revolution Mine,
- which is Justice 1, Independence Coal Company; Rich
- 19 Kline, who's the technical assistant district manager
- for District 4; Joe Mackowiak, who's the ventilation
- 21 supervisor for MSHA, District 4; and Pete Stone, who
- is a ventilation specialist for MSHA in District 4,
- were the people that were in that meeting.
- Q. Okay. Do you know what the gist of that meeting
- 25 was?

- 1 A. I do. Let me --- let me get it. We talked about
- 2 use of belt air and the fresh air differentials and
- 3 the difficulty they were having with belt air. And
- 4 what we agreed to, that they would have a split point,
- 5 a floating point, and that was the way that it was
- 6 ultimately approved. Initially --- and I don't recall
- 7 without going back to the individual plan itself, the
- 8 exact location where the use of belt air would cease,
- 9 but if you need that, I can get that ---
- 10 Q. Uh-huh (yes).
- 11 A. --- from the plan in Mount Hope. We talked about
- tailgate isolation prior, prior to mining the next
- 13 longwall panel. Meaning that their general operating
- policy is to leave their tailgate open to the gob.
- 15 That was a discussion that we had. And my notations
- state that they agreed verbally during that meeting
- that the stopping line would be intact prior to mining
- for the longwall on panel Number Two, which would be
- 19 the next panel up.
- 20 And the other thing that we talked about
- 21 specifically, there was a proposed borehole on that
- 22 particular map. And it was only proposed and they
- said they were not going to drill it, and we asked it
- to be taken off the map. And they had --- they had an
- area where that they indicated pumps in a bleeder.

- 1 And we asked that a statement be shown on the map that
- they would utilize permissible pumps if they were
- going to be electric powered and to show the purpose
- 4 of those pumps on the map.
- 5 Now, those were the specific discussions that we
- 6 talked about during this particular --- this
- 7 particular meeting. The focus of this particular
- 8 meeting, the primary focus, was use of belt air.
- 9 Q. Okay.
- 10 A. They wanted to continue the use of belt air. They
- 11 had not provided us with a justification, an adequate
- justification, in accordance with regulation and
- 13 policy. That was the focus of this particular
- 14 meeting.
- 15 A. Okay. You said use of belt air. Is that along
- the longwall face or the sections or both or ---?
- 17 Q. The discussion was only on the use of belt air on
- 18 the longwall.
- 19 A. Okay.
- 20 O. That was the discussion.
- 21 A. So I guess they had been using belt air prior to
- 22 December 3rd?
- 23 A. They were --- they were using belt air prior to
- December the 10th. I can tell you that.
- 25 Q. Okay. Okay. And the plan that was approved on

- 1 the 18th, which is following the 10th meeting, ---
- 2 A. Right.
- Q. --- is that one ---- did that plan require them to
- 4 quit using belt air at the face, on the longwall face?
- 5 A. Hold on just a second. That plan would've ---
- 6 that plan would have allowed them to continue to use
- 7 belt air to a certain point, and there was a --- a
- 8 certain point outby. And then they would revert to
- 9 mining without the use of belt air. And the reason
- 10 for that was the pressure differential, the way the
- 11 mine was set up. And, you know, the Bandytown fan is
- 12 an exhausting fan. This is the first longwall panel
- and, you know, there's a lot of --- lot of negative
- pressure. And it just was not feasible for them to
- 15 discontinue the use of a belt and the belt air until
- they were outby. And, again, I can tell you that I
- have to go back to Mount Hope and get that plan, but
- 18 there was a point that they had to continue ---
- 19 discontinue the use of belt air.
- 20 Q. Okay. I quess looking at the map, I'm talking
- 21 around December. I guess this shows a markup
- somewhere probably about midway out, out of the panel?
- 23 A. About 50 Break there, according to the map.
- Q. Yeah, at 50 Break. So when they was mining at 50
- 25 Break, they were using belt air; that correct?

- 1 A. I would say that's correct.
- 2 O. Okay. And some distance after that we
- discontinued using belt air, but you don't know when
- 4 that was?
- 5 A. I could tell you in just a sec. The discussion
- 6 started on belt air. The first submittal was November
- 7 the 20th, and that ---. We sent a denial letter out,
- 8 and that would've --- that was the first discussion
- 9 about belt air. Now, let me look. You asked me when
- 10 that --- that plan was approved. Let me tell you
- 11 that. That would've been the 23rd of December, 2009.
- 12 And my notes --- my notes show that that revision was
- hand-delivered to the district by Bill Ross, who is a
- ventilation specialist for Route 3 Engineering, A.T.
- 15 Massey, and Chris Adkins, who's the underground vice-
- 16 president of operations ---
- 17 O. Uh-huh (yes).
- 18 A. --- for A.T Massey. And we had a --- we had a
- 19 prior plan that was approved on the 18th, a prior plan
- 20 approved. And they --- they were not successful in
- 21 the mine in implementing that plan to reverse the belt
- 22 air. And they proposed --- they proposed this change
- on --- again, on December the 23rd, and --- because
- they were having difficulty in implementing the
- 25 previously- approved plan in the mine, and they

- brought this proposal in. And that's where --- that's
- where we were at for that.
- 3 Q. Okay. The plan submitted on the 23rd, do you know
- 4 what the difference was, why the plan on the 18th
- 5 wouldn't work, but the one on the 23rd would?
- 6 A. I can't say that with a hundred percent, but it
- 7 --- the issue at hand was the pressure differential.
- 8 And I do recall during those meetings that I asked the
- 9 question, had they considered --- had they considered
- 10 an exhaust shaft outby in this mine, I mean a air
- 11 shaft with an exhausting fan. And I do recall --- I
- do recall Chris Adkins' response to that. And he
- said, we can do whatever it takes to correct this.
- 14 And that was his response.
- 15 Tim, I believe --- and, again, I won't say this
- with a hundred percent certainty, because --- because
- 17 I wasn't part of Massey --- you know, I'm not
- 18 privy ---
- 19 Q. Uh-huh (yes).
- 20 A. --- to the actions that Massey took inside UBB to
- 21 try to implement this plan. But I do know that they
- 22 came back with this plan proposal on the 23rd of
- December, and that --- that proposal was approved.
- 24 And to my knowledge, that was the one that was
- implemented and the plan that they were operating ---

- 1 that revision they were operating under at the time of
- 2 the explosion.
- 3 Q. Okay. You mentioned an exhaust fan. You talked
- 4 about putting an exhaust fan in. That's in addition
- 5 to the one at Bandytown?
- 6 A. Yes. That discussion --- the discussion was
- 7 looking at the map in general. And I recall the
- 8 discussion was, you know, you have all this ---
- 9 Bandytown fan's an exhausting fan. You're sitting on
- 10 top of a fan on your first panel, and have you
- 11 considered an exhaust shaft outby or mining some
- 12 additional entries to the outside and --- and
- establishing an exhausting circuit to either/or?
- 14 Q. Okay.
- 15 A. And I asked specifically, you know, did they ---
- did they own the coal which would've been adjacent to
- 17 Six North belt, Five North belt to the outside, which
- to the outside means coming out to the Ellis Portals,
- 19 that block of coal in there. And had they considered
- 20 --- had they considered driving some new entries to
- 21 the outside and establishing an exhausting circuit
- there or drilling an air shaft and establishing
- 23 negative pressure?
- Q. The sole purpose of that was to reverse the air on
- 25 the beltline?

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1 A. Well, not --- well, not only that. Again, you
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- 2 know, it was --- I asked him, had they considered
- 3 that? And also for future development of our gate
- 4 roads to the north, as I'm looking at this map,
- 5 looking at the left-hand side being west and the right
- 6 side being east, to help them, down the road,
- 7 ventilate --- ventilate those gate entries? And they
- 8 said that they would consider it. And that was Chris
- 9 Adkins' statement, he said. And basically the gist of
- it, again, is, we'll do whatever it takes to provide
- 11 air in this mine.
- 12 But, again, let me stress that the topic of the
- meeting was use of belt air on the longwall. And that
- was the focus of the --- you know, of the
- 15 conversation, Tim.
- 16 Q. Okay. Massey's --- and I know you've heard it ---
- said that they didn't agree with some of the plans
- that was --- some of the changes to the plans that
- 19 MSHA required 'em to make. Do you know what he was
- 20 --- what they were referring to? Would it be this
- 21 belt air at the face? Would that be it, or is there
- 22 another --- do you have any idea what they're
- 23 referring to?
- 24 A. Well, that's a hard one to answer because ---
- 25 because the statement that ---. And you're referring

- 1 to the statements by Don Blankenship?
- 2 O. That's correct.
- 3 A. Public statements by Don Blankenship?
- 4 O. That's correct.
- 5 A. Well, let me make ---. Let me make a statement.
- 6 Then I'll attempt to answer your question.
- 7 Q. Okay.
- 8 A. A.T. Massey's made up of individuals no different
- 9 than you or I or, you know, a broad section of the
- 10 general public. And they have a crew of young
- 11 engineers. I can't say that they're entirely
- different than other coal companies or corporations of
- this day and age submitting plans. But the plans that
- they do submit to us, and I'm talking about
- specifically A.T. Massey now, very rarely do we have
- one coming in to our district office that is
- technically sound. And technically sound, from my
- 18 perspective, meaning that it meets the requirements of
- 19 our ventilation regulations and/or policy. Very
- 20 rarely do we have a plan come in that we will approve
- 21 on first blush.
- 22 Now, if I had to make a statement concerning Don
- 23 Blankenship's public statement relative to plans, then
- it appears that he's talking about the ventilation of
- 25 Headgate 21, Headgate 22 and the use of belt air. And

- that's what I --- that's what I would take that
- 2 statement to be, in general.
- 3 Now, from my perspective as a district manager
- 4 touching this and being part of this process for
- 5 approval/disapproval, number one, to abate this
- 6 condition, they could've simply went in the Number
- 7 Three entry of Headgate One North panel and corrected
- 8 their issues, the two issues that they had, number
- one, the water issue, which they did put air pumps in
- 10 there and control the water. And the other one was
- 11 support.
- 12 And quite frankly, prudent engineering, you
- would've done that, because them not abating those
- conditions in there, that has to be a ---. If they're
- going to use that current headgate at Headgate One
- North as a tailgate for the next longwall, it has to
- be a --- our regulations require a travelable tailgate
- 18 entry. And these condition --- these conditions were
- 19 such that it simply was deteriorating and certainly
- 20 affected travel at that time when the enforcement
- 21 issuances to address those were issued by --- by the
- 22 CMIs.
- 23 And not having this travelable return, a dedicated
- return for Headgate 22, Tailgate 22 sections, then the
- other option for them was to reroute --- reroute their

- 1 return down the cut-through, which they elected to do.
- 2 They could've fixed their conditions in the Number
- Three entry, again, at Headgate One North, which was
- 4 the return airway for Headgate 22 and Tailgate 22.
- 5 That's one half of the issue.
- 6 The other half of the issue is, they did not
- 7 provide us with justification for the use of belt air,
- 8 which we have clear guidance on. We have the
- 9 regulation and then we have policy guidance on what's
- 10 acceptable, what would be acceptable for a
- 11 justification. And they didn't provide --- they
- 12 didn't provide that.
- 13 They never --- they never requested a formal ---
- formal conference at any point in time concerning the
- 15 changing of the return from Number Three of the
- 16 Headgate One North to rerouting it through the cut-
- through and back the tailgate. And that's part of the
- 18 formal process. But, again, that's the --- I believe
- 19 that's the focus of --- the prime focus of Mr.
- 20 Blankenship's public comments. Does that answer your
- 21 question?
- Q. I think so.
- 23 A. Okay.
- Q. You mentioned on the headgate at the current
- longwall, you know, it deteriorated due to roof

- 1 conditions and I guess under water, I guess, to where
- 2 you couldn't travel it; is that correct?
- 3 A. Now, again, Tim, let me ---. Let me ---. Before
- 4 I say that's correct, let me go ---
- 5 Q. Uh-huh (yes).
- 6 A. --- back here and --- the issue ---. The issue in
- 7 there wasn't necessarily a main roof issue. It's my
- 8 understanding that it was --- it was ribs, rolling of
- 9 the ribs, you know, it wasn't a ---. It was a
- 10 convergence issue with the main roof setting down. It
- 11 wasn't --- it wasn't immediate roof support issue.
- 12 Q. Okay.
- 13 A. And but let me ---. Let me clarify that. Let me
- go back in here and take a look at something before
- 15 --- before I totally answer that.
- 16 WITNESS REVIEWS DOCUMENTS
- 17 MR. WATKINS:
- 18 All right. Maybe we should just ---.
- 19 ATTORNEY BABINGTON:
- 20 Yeah. Let's take a quick break. Off the
- 21 record.
- 22 SHORT BREAK TAKEN
- 23 ATTORNEY BABINGTON:
- 24 Back on the record. Go ahead.
- 25 BY MR. WATKINS:

- 1 Q. All right. Go back on the record. So go ahead
- and ---. I think when we --- after we broke there,
- Bob, but I think we were talking about the water and
- 4 the roof and roof conditions deteriorating in the
- 5 headgate at the longwall. And you was trying to
- 6 specify a time, I guess, or kind of narrow down a time
- 7 when that --- when those conditions started to
- 8 deteriorate?
- 9 A. Yes.
- 10 Q. Were you able to come up with any --- any time
- 11 frame?
- 12 A. Actually, yes. It would've been on 12/1. It
- would've been December 1st of 2009. And a citation
- was issued for crushing of stoppings in Break 54 of
- 15 Headgate One North and running numerically from 54 to
- Break 68 of Headgate One North. And that would've
- been 15 --- 15 stoppings that were being compromised
- 18 because of adverse roof conditions.
- 19 Q. Uh-huh (yes).
- 20 A. And consequently at those locations, the return
- 21 from One section, which is a company reference up in
- the development of Headgate 22, Tailgate 22 return,
- was not isolated, from the old works. There was no
- isolation. It was not at that point in time a return
- 25 airway, distinct.

- 1 And then there was a --- the same date, December
- the 1st, 2009, a citation was issued by the same CMI,
- 3 that the roof and the ribs in that Number One section
- 4 return, that isolated return that traveled down
- 5 Headgate One North, in an area between 68 and 68
- 6 Break, was inadequately supported to protect persons
- 7 from falls of the roof face, ribs or coal or rock
- 8 bursts. Those were where --- 12th and the 1st was
- 9 when those were issued.
- 10 And, additionally, on the same date, on the 12th
- and the 1st, 2009, a citation was issued because that
- return air course, meaning the return air course in
- One section down Headgate One North, couldn't be
- traveled in its entirety because of a roof fall
- 15 between the 68 and 69 Break. And that fall was
- approximately 15 feet long, 16 feet wide and 3 foot
- 17 high.
- 18 And then in the body of that citation it also
- 19 couldn't be traveled because water had accumulated up
- to 15 inches deep between the 60 Break and 69 Break of
- 21 Headgate One North in that return airway. So to
- answer your question, 12/1/2009.
- Q. Okay. There seems to be, I quess, quite a bit of
- 24 problems with that headgate entry going up --- going
- up that way as far as roof condition and water and all

- 1 that. Do we know what caused the deterioration, you
- 2 know, why it was that bad?
- 3 A. No, I don't, you know.
- 4 Q. Okay.
- 5 A. I can only --- I can only say that the conditions
- 6 were present. You know, why I can't state. Again,
- 7 the operator elected not to abate those.
- 8 Q. Uh-huh (yes).
- 9 A. They did address the water. They elected not to
- 10 continue the dedicated isolated return and elected to
- move it down through their longwall crossover.
- 12 Q. And you said they did address the water. Are you
- talking about pumps or ---?
- 14 A. They put air pumps --- their air pumps in there.
- 15 And Tim, I don't know whether those pumps were
- 16 existing prior to the citation or as a condition of
- abatement, but I do know that they --- they did take
- care of the water, the pumping of the water, by air
- 19 pumps. And that's what I was informed. I mean, I
- 20 didn't personally see that.
- Q. Uh-huh (yes). Do you know how they would travel
- 22 to get to those pumps?
- 23 A. I believe --- I don't know how they traveled to
- get to those pumps, but I believe they were accessible
- from the back end of the longwall, meaning from the

- 1 Bandytown side behind the --- behind the gob.
- Q. Uh-huh (yes).
- 3 A. I believe.
- 4 Q. Was there ever any discussion about where that
- 5 water was coming from? Did it come from the seam
- 6 above or from the floor?
- 7 A. No. This whole area of the mine, the only thing
- 8 that I'm aware of, they do have a turbine pump back at
- 9 the Bandytown end of that system. And I didn't have
- any indication, Tim, that it was coming from the mine
- above.
- 12 Q. Okay.
- 13 A. Nothing was conveyed to me to indicate that.
- 14 Q. Okay.
- 15 A. And I'm not aware of --- of that.
- 16 Q. Do you know if once --- once the citation was
- issued for the, I guess for the stoppings crushing
- 18 out, you know, was the floor hooving or top coming
- down, or do you know what mechanism actually caused
- 20 the stoppings to crush?
- 21 A. I don't, and can't answer that with a hundred
- 22 percent certainty, Tim. All that I know is we had a
- 23 roof fall. We had a roof fall in there, but it was
- conveyed to me that in general the immediate roof, it
- 25 could've been a weight issue ---

- 1 Q. Uh-huh (yes).
- 2 A. --- convergence issue, but I can't say that,
- again, with certainty because I wasn't in that area.
- 4 I personally wasn't in that area.
- 5 Q. Do you know if your roof control department got
- 6 involved in the analysis of this area?
- 7 A. I can tell you that I had two mining engineers in
- 8 this area. I can't tell you that they were
- 9 necessarily roof control specialists.
- 10 Q. Okay. Who were the two people you had in the
- 11 area?
- 12 A. The engineers that were in there were Joe
- Mackowiak, he's a mine engineer, and Michael Haynes,
- who is also a mining engineer.
- 15 Q. Okay. And both of those gentlemen work in the
- 16 ventilation division?
- 17 A. That's correct.
- Q. Okay. But you're not --- you're not sure or don't
- 19 know if actually any analysis was done as far as for
- the roof control for the strata above, you know, ARMPS
- 21 and ---?
- 22 A. The stability of the pillar size, I can say with
- certainty that that was conducted as part of the
- evaluation on the roof control plan.
- 25 Q. Okay.

- 1 A. I can say that with certainty.
- 2 Q. Okay.
- 3 A. And that's standard procedure. That's SOP.
- 4 Q. Uh-huh (yes).
- 5 A. I have no doubt that the roof control department
- 6 would have --- would have looked at those calculations
- 7 and verified that the company had submitted an
- 8 appropriate plan. I've not looked at those
- 9 calculations, Tim, but that's part of the process, the
- 10 initial approval process.
- 11 Q. Uh-huh (yes).
- 12 A. And that also is part of the plan review process.
- 13 O. Okay. Do you know if those calculations were
- 14 reevaluated after --- after this condition was
- 15 detected? Did they rerun the numbers?
- 16 A. I can't answer that.
- 17 Q. Okay.
- 18 A. No. My answer would be, I don't know.
- 19 Q. Okay. Do those calculations take into account
- seams being mined above this seam? Do you know?
- 21 A. That's a good question and that's a fair question,
- but I can't answer that with certainty either, because
- 23 --- because I do know that, you know, they take into
- consideration abutment pressure. They can take ---
- 25 they take into consideration depth of overburden, but

- 1 I've not ran that program personally, Tim. And I
- 2 would be hesitant to answer that. I'd be hesitant
- 3 to ---
- 4 Q. Okay.
- 5 A. --- answer that.
- 6 Q. You mentioned there was quite a few --- few items
- 7 there when you were --- we were talking about the plan
- 8 that'd been submitted and ---
- 9 A. Uh-huh (yes).
- 10 Q. --- something you mentioned was a floating point.
- 11 Is that an evaluation point or ---?
- 12 A. No, that would've been a point where --- that's
- the way it was referred to in the discussion, but that
- 14 would've been --- that would've been a point where
- 15 they were to discontinue the use of belt air.
- 16 Q. Okay.
- 17 A. And I believe the plan --- the plan that ---
- 18 ultimately approved would have --- would have an exact
- 19 location, Tim.
- 20 Q. Okay. And you also mentioned the tailgate
- 21 isolation.
- 22 A. Uh-huh (yes).
- Q. Okay. What was you referring to when you
- 24 mentioned the tailgate isolation?
- 25 A. Tailgate isolation, we talked about tailgate

- isolation, meaning that you have an entry, the entry
- 2 immediately adjacent to the longwall pillar being
- 3 mined. That entry on the tailgate would have ---
- 4 would be isolated from the gob itself, and a stopping
- 5 line there, again. The longwall panel, the travelable
- 6 tailgate entry immediately adjacent to that pillar ---
- 7 Q. Okay.
- 8 A. --- would be isolated from the general gob of the
- 9 previously-mined panel.
- 10 Q. Okay. So on the map, on the current map we're
- looking at, we have the stopping line as showing, 4
- 12 --- between 04 and 05. Is that the stopping line
- 13 you're talking about?
- 14 A. Well, the stopping line that I'm primarily talking
- about would be if you --- if you came into Number Two
- panel of this district, we're looking at Headgate One
- 17 North. This (indicating) would be the next panel,
- 18 Number Two panel. And you got the current headgate,
- 19 which will become the tailgate in normal circumstances
- 20 for the Number Two panel ---
- 21 Q. Okay.
- 22 A. --- being mined. And then the isolation that I'm
- 23 talking about is isolating this single entry against
- the solid pillar, the longwall pillar being mined, ---
- 25 Q. Uh-huh (yes).

- 1 A. --- from the previously-mined gob.
- Q. Okay. And that's the one that you referred to as
- 3 having the problem with being --- being crushed out?
- 4 A. No. The one I'm talking the problem with being
- 5 crushed out in UBB is the Number Three entry, which at
- 6 the time served as the return entry for Number One
- 7 section, Headgate 22 ---
- 8 Q. Okay.
- 9 A. --- and Headgate 21.
- 10 Q. Okay.
- 11 A. And that's a return airway. And that --- that was
- 12 a separate distinct return airway for this --- these
- developing sections. Now, this same entry, if that
- stopping line was intact, would've been the travelable
- tailgate entry for the Number Two panel ---
- 16 Q. Okay.
- 17 A. --- that would be developed. That's what I'm
- 18 talking about.
- 19 Q. Okay.
- 20 ATTORNEY BABINGTON:
- 21 I'm sorry. Just to clarify for the
- record, Bob, can you mark the --- you're saying
- longwall panel Two?
- 24 A. Yes.
- 25 ATTORNEY BABINGTON:

- 1 Could you mark the approximate location
- 2 of that panel?
- 3 A. This will be Number Two panel, ---
- 4 ATTORNEY BABINGTON:
- 5 Okay.
- 6 A. --- that I'm referring to. It's the next panel
- 7 that's scheduled to be mined. I'm calling this ---
- 8 this is Headgate One North. This would be Number One,
- 9 the first panel in the district.
- 10 ATTORNEY BABINGTON:
- 11 And you're referring to Headgate One
- 12 North. You said before that in normal circumstances
- that would become the tailgate for the Number Two
- 14 panel?
- 15 A. This (indicating) in normal circumstances, and I'm
- 16 referring to Headgate One North as this headgate that
- 17 extends directly --- directly back in line with
- 18 Bandytown fan on this map. When the Number Two Panel,
- 19 the next scheduled longwall panel after they mine
- 20 Headgate One North out with this longwall, then it
- 21 becomes the tailgate for the next scheduled panel, in
- 22 normal circumstances.
- 23 ATTORNEY BABINGTON:
- 24 Thank you.
- 25 A. Uh-huh (yes).

- 1 MR. MCGINLEY:
- 2 Let the record reflect the witness has
- 3 marked the map with a blue marker, the Headgate One
- 4 and Two areas that he's referring to.
- 5 A. Let me make a clarifying statement on that, Tim,
- 6 if I could.
- 7 MR. WATKINS:
- 8 Yeah. Go ahead.
- 9 A. The general policy for A.T. Massey is to leave ---
- 10 to leave those three entries when they become a
- 11 tailgate open and not have any isolation to the gob.
- 12 And that was --- that was the discussion relative to
- 13 tailgate isolation.
- 14 BY MR. WATKINS:
- 15 Q. Okay. Now, you said under normal conditions, of
- 16 course the current headgate would become the tailgate.
- 17 A. That's correct.
- 18 O. And I understand that, but on the map we show
- here, we see that they drove new headgate and new
- 20 tailgate for the second panel.
- 21 A. Uh-huh (yes). Yes.
- Q. Was that done because of the deterioration in the
- headgate and it could not longer be travelable, the
- current tailgate, or why was it --- why was that done,
- 25 I guess, is the guestion?

- 1 A. It was done because of the conditions that were
- 2 present, the ground control deterioration, in the
- 3 headgate panel. And that the discussion --- the
- 4 overall discussion was --- and it was that this panel
- 5 was failing. And that the discussion was that it's
- 6 already failing. And the consensus was that they
- 7 would have difficulty keeping it --- keeping it open
- 8 as a tailgate for the next panel. And that's the
- 9 reason that Tailgate 22 is being driven, to establish
- 10 --- to establish a tailgate for longwall panel Number
- 11 Two.
- 12 Q. Okay. Do you know if the company run any or
- submitted to you any analysis of --- for that design,
- because it looks like it's pretty similar to the old
- 15 design?
- 16 A. Tim, I can't answer that. I can check for you,
- 17 but I can't truthfully answer that today.
- 18 O. Okay.
- 19 A. Yeah.
- 20 Q. I was just curious because it looks like rock
- 21 sizes and all that's pretty similar to what was in the
- 22 current headgate, ---
- 23 A. Uh-huh (Yes).
- Q. --- and I just wanted to ---. The question is, I
- guess, if it failed there, are we setting up the same

- 1 thing for this one?
- 2 A. And I understand. And that's a fair question. I
- 3 understand what you're saying.
- 4 Q. Okay. Let's change gears a little bit, Bob, talk
- 5 about ---. Did you ever know of any methane buildups
- or report out due to methane accumulations?
- 7 A. Now, let me ---. Let me ask a question.
- Q. Uh-huh (yes).
- 9 A. Prior to last week, meaning the latter part of
- 10 last week, Thursday or Friday, ---
- 11 Q. Okay.
- 12 A. --- no. I wasn't aware. I wasn't aware. Now, I
- become aware last Thursday and --- that in the past,
- meaning back, 2004 or 2005, that they did have ---
- 15 they did have some methane issues in this mine.
- 16 O. Okay.
- 17 A. But I wasn't aware prior to last Thursday.
- 18 O. Okay. Do you know where those issues occurred at?
- 19 A. I don't know exactly the spot, but maybe I can ---
- 20 maybe I can come up with it here. I was made aware of
- 21 the existence of two memorandums last Thursday. And,
- in fact, have copies of those, but I don't --- but I
- 23 don't know ---. According to a 2004 memorandum,
- 24 again, which was given to me last Thursday, and
- today's, what, the 27th, so do the math on the date.

- 1 Q. Uh-huh (yes).
- 2 A. It would've been ---.
- 3 Q. Pretty close to the 20th, isn't it?
- 4 A. Hold on, just a sec. Actually, I was made aware
- of it on Thursday and I received a copy of it on
- 6 Friday.
- 7 Q. Okay.
- 8 A. And it says in the memorandum that in February of
- 9 2004 there was a floor methane outburst in the 17
- 10 longwall panel. And prior to that, this memorandum
- 11 says that there was a similar floor methane outburst
- in 16 longwall panel, in July 2003.
- 13 Q. Okay. And how'd you become aware of that?
- 14 A. In a memorandum dated July 15th, 2004. This
- 15 memorandum was routed from tech support through
- 16 District 4. And I came to work and this was --- this
- 17 was under my door.
- 18 Q. Okay.
- 19 A. Now, when I opened the door to my office, this is
- 20 slid under the door.
- 21 Q. Okay.
- 22 A. I was also provided a copy of this same memorandum
- 23 yesterday by Mike Dickerson, who's my staff assistant
- in District 4. It's an identical copy of the same
- 25 memorandum. And that had showed up after an extensive

- search by Joe Mackowiak, who's my ventilation
- 2 supervisor in the ventilation department. And he
- found this same memorandum in --- during a search for
- 4 --- and I don't know who made the request, he doesn't
- tell me, but basically to put it in layman's terms, it
- 6 was in a file 13. It was a file that wasn't
- 7 organized. It was just a --- I don't know whether it
- 8 was in a file cabinet or whether it was in a box or
- 9 where they found it, he doesn't say, but they
- 10 basically had conducted a search in the ventilation
- department for any documents related to UBB, and they
- come up with a --- with a copy of this 2004 memorandum
- in those file 13 documents.
- 14 Q. Okay. But you don't know who slid the one under
- 15 your door?
- 16 A. Have no idea.
- 17 Q. Okay. The one in 2004, I think you said it was
- 18 panel 17?
- 19 A. Let me take a look at that.
- 20 Q. I think that's what you said.
- 21 A. I'll go back in this thing and --- it said 2004,
- February 2004. Seventeen (17) longwall panel is what
- 23 it references to.
- Q. Okay. And that was prior to you going back to
- 25 District 4 as a district manager?

- 1 A. Yes. This is July 15th, 2004. And I came back
- 2 acting as the district manager on August the 7th of
- 3 2006.
- 4 Q. Okay. Did that report --- did it say what the
- 5 cause was that they put in, for the methane
- 6 inundation?
- 7 A. It's pretty extensive and --- but it references
- 8 interaction, overburden interaction. And what I read
- 9 in it, from reading the memorandum, that the
- 10 composition of the strata below the Eagle seam, which
- 11 UBB's in the Eagle seam, ---
- 12 Q. Okay.
- 13 A. --- that there are gas sands situated
- approximately 25 feet --- 2,500 feet below the Eagle
- 15 seam, which is a considerable distance. But that
- methane trapped in those seams below the Eagle, the
- 17 report states that they could be released through
- 18 fractures opened up by longwall extraction. And also,
- the memorandum, I think it refers to a venting of this
- 20 underburden could complicate the issue. But that's
- 21 the --- that's the study that was conducted.
- 22 Now, there is another memorandum that --- there
- were two memorandums that were stapled together. The
- second memorandum goes back a little earlier to March
- 25 4th, 2004. The first memorandum that I just talked

- 1 about is date stamped July 15th, 2004.
- 2 The second memorandum is dated March the 4th.
- 3 2004, dealing with the same subject at UBB. And it's
- 4 actually the evaluation of tech support's --- the
- 5 evaluation of Headgate 17, and they evaluated Headgate
- 6 18. And also it refers to --- and they call it
- 7 critical overburden value, and that would be a
- 8 thousand plus feet in innerburden thickness between
- 9 the Eagle and the Lower Eagle. Those are the two
- memos.
- 11 Q. Okay. Would it be possible to get copies of those
- two memos --- memorandums?
- 13 A. Sure.
- 14 Q. Okay.
- 15 MR. FARLEY:
- 16 We'd also like to request copies of
- those, please.
- 18 MR. MCGINLEY:
- 19 The same.
- 20 ATTORNEY BABINGTON:
- 21 Okay. So just to clarify, both State and
- Davitt's team have requested copies, in addition to
- 23 MSHA requesting copies of those two memos.
- 24 BY MR. WATKINS:
- Q. Let me ask you a question here, Bob. If you'd

- 1 known about those two memos, would you might've looked
- 2 at things a little bit differently, as far as when it
- 3 come to the plan approval process?
- 4 A. Yes. Absolutely.
- 5 O. How so?
- 6 A. Well, Tim, I probably would have --- would have
- 7 did a correlation between these known areas and linear
- 8 analysis of this mine, to see whether any fault zones
- 9 were present. Certainly would've required a plan with
- 10 elements in it to address this potential of methane
- outbursts in the floor from during --- during longwall
- mining, without a doubt. And would've required
- certainly there be a part of training for the miners,
- including ---. Well, let me rephrase that. Training
- for anybody that worked in the mine or was hired. It
- 16 would be part of the training process to address this,
- the people that worked or new people that were yet to
- 18 be employed by the mine.
- 19 And I certainly would've looked, is there any way
- 20 to alleviate this through degasification, either by
- 21 --- either by boreholes from the surface into, you
- 22 know, into this area? You'd look at the innerburden.
- You'd look, you'd look at the innerburden between this
- 24 mine and is there any mapping of these particular
- sands, because, you know, there's a possibility that

- 1 the State or gas drilling companies may --- may have
- these mapped. I mean, you would look at it pretty
- intensely, if you knew that potential existed.
- 4 And it certainly --- it certainly brings to light
- 5 the things that need to be done at this mine before it
- 6 ever goes back into production. And it also brings to
- 7 light that the potential may exist out there in the
- 8 other mines that are mining in the Eagle seam. So
- 9 yes, it does put a whole different perspective on this
- 10 issue, now that I am aware that this did happen in the
- 11 past, and looking at the potentials for this
- 12 particular coal seam in the future.
- 13 Q. Just a couple questions on the current longwall
- and the ventilation, and then I'll let Terry and them
- guys see if they have any follow-up questions before
- we get too far along; okay? The current longwall, as
- far as evaluation points and how the effectiveness of
- 18 that system is evaluated, do you know where those
- 19 points are at?
- 20 A. Tim, I know currently there are evaluation points
- on the back end. And I don't have a map, and I don't
- think this map shows 'em. And there's also evaluation
- points at the wall, meaning at the face of the wall
- and the back end, and the back end to the headgate.
- 25 Q. Okay. Okay. And you mentioned --- you mentioned

- 1 something a little earlier about the engineers, you
- 2 know, being kind of young and stuff. And then you
- 3 also mentioned Bill Ross.
- 4 A. Uh-huh (yes).
- 5 Q. I understand Bill was a former employee of MSHA;
- 6 is that correct?
- 7 A. Yeah, Bill was a --- had worked in the ventilation
- 8 department and was supervisor of that department upon
- 9 his retirement.
- 10 Q. Could you tell a difference in the submittals? I
- 11 know that we had a lot of submittals and stuff on
- hand, I guess, as far as Massey goes submitting
- 13 submittals and revisions. Can you tell a difference
- once they came onboard? Did those submittals and
- 15 revisions slow down any or did it stay about --- about
- 16 the same as far as the number of submittals?
- 17 A. Personally, I can't tell you that, because
- 18 historically the company has a lot of submittals
- 19 because they have a lot of issues. I can't honestly
- answer that, Tim.
- Q. Okay. Do you think this company has more
- 22 submittals and revisions than say a company of
- comparable size, than a different company?
- A. I would say that, yes.
- 25 Q. That they have more?

- 1 A. Yes.
- Q. Okay. Do you know why that would be? Is there
- just conditions or do you know why that would be?
- A. Well, to answer that the best that I can, they
- 5 don't mine by typicals. Typicals meaning that you
- 6 would have a typical plan to move the longwall,
- 7 ventilation of the longwall, or a typical plan to move
- 8 a --- move a working section, a continuous miner
- 9 working section. It seems that they have more
- 10 localized, individual ventilation issues than most
- 11 companies have because of that, and that would be a
- 12 combination of mine design overall and long-term mine
- design. And in-mine conditions, which companies have,
- that would probably be part of the reason --- the
- 15 reason why.
- 16 MR. WATKINS:
- 17 Terry, how about you?
- 18 ATTORNEY BABINGTON:
- 19 I'd actually like to take a quick break.
- 20 Off record.
- 21 SHORT BREAK TAKEN
- 22 ATTORNEY BABINGTON:
- 23 So let's go back on the record. Just as
- a note for the record, because these two memos that
- were previously mentioned are new, new to the

- 1 interviewing parties, we're going to delay following
- 2 up with questions on that until a later follow-up
- 3 interview. We have one follow-up question and then
- 4 we'll move on to the follow-up questions on the rest
- of the substance of your previous interview.
- 6 BY MR. WATKINS:
- 7 Q. Those memos that you submitted there, Bob, do you
- 8 know if those memos went to the company or was that
- 9 just an in-house memo?
- 10 A. Tim, I have no idea. The only thing that I can
- 11 tell you is, you know, it's obviously the people that
- are on front page, their routing and signatures, seen
- 13 that memo. I have no clue.
- 14 Q. Okay.
- 15 A. I mean, I'd --- again, let me restate, I became
- 16 aware that the existence of those verbally Thursday of
- 17 last week. That's the first knowledge that I had, so
- 18 I do not know what the distribution of that --- those
- 19 memos were.
- 20 Q. Okay.
- 21 A. All right.
- 22 EXAMINATION
- BY MR. MCGINLEY:
- Q. Now, just a follow-up to that. We're not going to
- 25 go into the memos, but just a related question.

- 1 Notwithstanding whether the memos were distributed to
- the company, the company clearly knew about the
- methane bursts at the time. Would you agree with
- 4 that?
- 5 A. I think that's a fair --- I think that's a ---.
- 6 I would have to agree with that, yes. Because these
- 7 were outbursts, they were reportable accidents, and
- 8 certainly I would think, and I don't know this to be a
- 9 fact, that they were investigated and discussions held
- 10 at that point in time.
- 11 Q. By the company? Wouldn't you expect the company,
- 12 having experienced these two outbursts in summer of
- 2003 and summer of 2004, they would've undertaken
- their own analysis to determine the implications of
- this underlying gas on their operations?
- 16 A. Yes. I would expect that they would. And the
- 17 regulations require them to investigate accidents.
- 18 The regulations meaning 30 CFR Part 50. And
- 19 regulation requires them to investigate accidents.
- 20 Q. And from what you --- from what you said earlier
- in the interview, it was clear to you that these
- outbursts required --- or had you known about this, it
- 23 would require you to look at this mine in a different
- 24 way because of the potential safety problems attending
- 25 the methane burst?

- 1 A. Yes.
- Q. And company --- Massey's safety people ---? Would
- you say Massey's safety people or anyone acquainted
- 4 with mine safety would have responded the same way you
- 5 did, that there's something here that we really have
- 6 to investigate and take care of?
- 7 A. I would agree with your statement, that if I were
- 8 a manager of that company or in the safety department
- 9 of that company and aware of that potential that you
- 10 would --- you would put something in your organization
- 11 to address that potential, yes.
- 12 Q. And then if they were to do that, they should also
- share that with MSHA. Would you agree with that?
- 14 A. Yes. I think that the ventilation regulations ---
- as a district manager, not only would I expect them to
- share that. I would require 'em to develop that as
- part of their ventilation plan. And I would require
- that. I wouldn't expect it, I would require it.
- 19 MR. MCGINLEY:
- 20 Thank you.
- 21 ATTORNEY BABINGTON:
- 22 Terry?
- 23 MR. FARLEY:
- 24 I think we're going to withhold our
- 25 questioning until we have the opportunity to review

- 1 the required documents here.
- 2 ATTORNEY BABINGTON:
- 3 Do you have any follow-ups related to the
- 4 other testimony?
- 5 MR. FARLEY:
- 6 Maybe one.
- 7 EXAMINATION
- 8 BY MR. FARLEY:
- 9 Q. Let's go back to the meetings in December, Mr.
- 10 Hardman. If I understood you correctly, there was
- originally a plan approved for the UBB Mine, a
- ventilation plan that is approved on December the
- 13 18th, which would have done away with the use of belt
- air for ventilating purposes on the longwall panel; am
- 15 I correct there?
- 16 A. You are correct, 12th and the 18th.
- 17 Q. Okay.
- 18 A. We approved a plan to reverse the belt air and
- 19 eliminate its use.
- 20 Q. Okay. And then that was followed by a meeting on
- December 23rd, where after they, in whatever manner,
- determined that they were not able to follow through
- with that plan; is that correct?
- A. No, that statement that you just made is not
- 25 totally correct.

- 1 Q. Okay.
- 2 A. The correct --- to be correct --- and what I said
- 3 earlier was that on the 23rd of December ---
- 4 Q. Uh-huh (yes).
- 5 A. --- a revision was requested. A plan was hand-
- 6 delivered by Bill Ross ---
- 7 Q. Okay.
- 8 A. --- and Chris Adkins.
- 9 Q. Okay.
- 10 A. And it was --- they had tried to implement the
- 11 12th and 18th approved plan and they were unsuccessful
- in doing that ---
- 13 Q. Uh-huh (yes).
- 14 A. --- and the 12th and the 13th plan was another
- proposal on how they would go about doing that.
- 16 Q. Okay. Well, the second proposal, did it continue
- to allow the use of belt air, to a certain extent?
- 18 A. Yes, it did to a certain point in the mine. And
- as I stated earlier, I don't have that exact
- 20 location ---
- 21 Q. Okay.
- 22 A. --- with me. I can get it ---
- 23 Q. Okay.
- 24 A. --- for you all.
- 25 Q. Okay.

- 1 A. But at that point at that exact location, belt air
- 2 would be discontinued.
- Q. Okay.
- 4 A. Yeah
- 5 MR. FARLEY:
- 6 All right. Thank you.
- 7 RE-EXAMINATION
- 8 BY MR. MCGINLEY:
- 9 Q. Mr. Hardman, I've got a number of clarifying
- 10 questions relating to your earlier statements. In
- 11 refreshing your recollection regarding dates, you
- referred to some documents that you have there.
- 13 A. Okay.
- Q. Could you identify them for the record?
- 15 A. Okay. What I have ---. What I have is, one, I
- 16 keep an attendance record, a sign-in sheet, of the
- meetings that I'm involved with. No different than
- asking you, could I have an attendance roster of this
- 19 meeting ---
- 20 Q. Uh-huh (yes).
- 21 A. --- for future reference? And then the other
- document that I refer to here is just simply a spiral-
- bound that I keep general notes of my own in.
- Q. In that spiral-bound book, do you write those
- notes contemporaneously with meetings or does it vary?

- 1 Sometimes you take notes during meetings and other
- times you write down what you recall?
- A. Well, actually it's a combination of both. If
- 4 it's a brief --- if it's a brief meeting and there's
- 5 something I want to clarify for a future use, I may
- 6 just capture the --- you know, I may sit down after
- 7 the meeting and just capture it in general. But in
- 8 most cases I'll make these notes as the meeting
- 9 progresses, just for my future reference.
- 10 Q. When you have a full meeting, for example, the
- 11 December 23rd, 2009 meeting when the Massey folks came
- in with the revision of the plan that had just been
- approved a few days before, would you ordinarily or
- someone prepare a memorandum or notes regarding what
- was said at that meeting, a formal, typed-up version?
- 16 A. Okay. Let me clarify once more that there wasn't
- a meeting on the 12th and 23rd. And you know, I just
- 18 addressed that with Mr. Farley here a second ago. My
- 19 statement was on 12/23rd that a revision was hand-
- delivered to our office by Bill Ross and Chris Adkins.
- 21 That wasn't a meeting.
- 22 They came in and hand-delivered a request for a
- revision because they had tried to implement the plan
- that was approved on 12/18 and they were unsuccessful.
- 25 So they had another proposal on how they --- how they

- 1 thought they could eliminate the use of belt air.
- Q. So for further clarification, with regard to that
- 3 meeting, were you ---?
- 4 A. No, you're referring to a meeting that never ---
- 5 O. I understand.
- 6 A. --- took place.
- 7 Q. I understand that. I understand your statement.
- 8 I'm trying to understand what happened on that day.
- 9 A. Yes.
- 10 Q. So Chris Adkins came in. Several people came in
- and they had a revised plan, to revise the plan that
- had been approved a few days earlier, I think on the
- 13 18th of December 2009. There was no discussion at all
- with regard to why the previous plan, the approved
- 15 plan, had not worked?
- 16 A. The discussion that would've --- if there was ---
- if there were discussions at that point in time,
- 18 would've taken place in the ventilation department
- 19 with the ventilation supervisor.
- 20 Q. Okay. So there may have been a meeting with the
- 21 ventilation supervisor?
- 22 A. There may --- there may or may not have been a
- 23 meeting. I'm certain there was general discussion
- about why the previously-approved revision, they
- 25 couldn't implement it. And then the general content

- and concept of the newly-submitted revision may or may
- 2 not have been discussed at that time because they were
- 3 starting the review process of that.
- 4 Q. Well, that review process started on the 23rd and
- 5 ended on the 3rd --- 23rd; is that correct? Because
- 6 there was a ---.
- 7 A. Yeah, the only reference that I --- the only
- 8 reference that I have here to jog my memory is that
- 9 this plan --- this plan was a 12/23 plan that I
- 10 approved. I don't have an exact date and time that it
- 11 was hand-delivered. The only thing that I have is the
- 12 fact that the revision was hand-delivered by Bill Ross
- and Chris Adkins, ---
- 14 Q. Uh-huh (yes).
- 15 A. --- but the approval date was 12/23.
- 16 Q. I think previous testimony in my notes show that
- 17 it was delivered on the 23rd and that Chris Adkins and
- the others showed up unannounced it that day.
- 19 A. And that may be true. And, you know, and the only
- 20 thing I have on it, the plan --- the plan was dated
- 21 12/23. I don't have the cover letter with me that I
- 22 would've signed or, in my absence, another manager
- would've signed.
- Q. Is it --- is it common for high officials like Mr.
- 25 Adkins in a big coal company to appear unannounced

- with plan revisions?
- 2 A. I think the word you used is common, and I would
- 3 say it isn't. It is not common, in most --- or coal
- 4 companies that a person of Mr. Adkins' position would
- 5 --- would normally hand-deliver a plan. I think given
- 6 that this is a longwall and that production
- 7 capabilities off the longwall, when it's idle is a
- 8 pretty positive process, so I can't say that it was
- 9 uncommon for the type of plan that he carried in there
- 10 for him to be involved in it.
- 11 Q. Because the approved plan of, I believe it was
- 12 December 18th, didn't work, ---
- 13 A. Yes.
- 14 Q. --- should production have been shut down pending
- approval of the revision on the 23rd?
- 16 A. I can't say that it should have. I can't say that
- I would answer that to be yes. What you have to
- 18 remember is belt air had been used in this mine in the
- 19 past, and we promulgated a regulation for the use of
- 20 belt air. After many studies, a report, belt air
- 21 report came out, and we had a regulation and the
- agency, meaning MSHA, developed policy relative to the
- use of belt air.
- 24 The regulation preamble requires a justification
- for continued use of belt air. And this company had

- 1 not provided justification, sufficient justification,
- 2 up to a point, which --- and they never have provided
- 3 that justification. And without that justification,
- 4 my guidance is, you don't approve use of belt air.
- 5 That's the guidance that I have under policy.
- 6 Q. Well, if the December 18th plan wasn't working,
- 7 doesn't that mean it wasn't adequate ventilation?
- 8 A. No.
- 9 Q. So what would that mean? Do you know --- I mean,
- do you know --- rather, do you recall ---?
- 11 A. No, that doesn't mean that there was inadequate
- 12 ventilation. What that means is they were not
- 13 successful in changing the direction of air flow in
- the belt entry and bringing it outby instead of
- 15 allowing it to continue to the working face. It
- doesn't mean, by any means, that that section, whether
- it's a longwall or whether it's a mining unit or this
- 18 particular section was inadequately ventilated.
- 19 O. Could it have meant that?
- 20 A. Could it have meant that?
- 21 Q. Right. I mean, are you saying that there was
- 22 adequate ventilation or are you saying that you don't
- know that there was not adequate ventilation? I mean,
- what --- I'm asking you about your own knowledge.
- 25 A. All right. Let me ---. Let me --- let me try to

- 1 answer it in this manner. This is the first longwall
- 2 panel in this district sitting right on top of
- Bandytown fan. Do they have the capability, without
- 4 the use of belt air to ventilate this with their mine
- 5 properly designed? Absolutely.
- 6 Q. And nevertheless they were having ventilation
- 7 problems?
- 8 A. I can't say that they were having ventilation
- 9 problems. The ventilation problems that they had was
- in the return entry off of One section, Headgate 22
- due to restrictions in there because they couldn't
- 12 travel it. That didn't mean that the ventilation was
- 13 affected. It was an issue of deteriorating roof
- 14 conditions and the ability to travel that particular
- 15 entry. Were they having trouble implementing approved
- 16 changes? Absolutely.
- 17 ATTORNEY BABINGTON:
- 18 For clarification, I believe you referred
- 19 to the bad conditions on, being on One Section
- 20 Headgate 22?
- 21 A. No, I'm saying that the conditions were in on
- Headgate 22, which was the return for --- excuse me,
- the Headgate One North, which was the return for
- Number One section, Headgate 22.
- 25 ATTORNEY BABINGTON:

- 1 Okay.
- 2 A. If I misspoke, I apologize.
- 3 ATTORNEY BABINGTON:
- 4 Okay. And I just want to then clarify,
- 5 then, the Headgate One North being the return for
- 6 Headgate 22, that was where you --- that was where the
- 7 adverse conditions could be found?
- 8 A. That's correct.
- 9 BY MR. MCGINLEY:
- 10 Q. To your knowledge, was there ever an instance of
- inadequate ventilation in this mine from the date of
- 12 the explosion back a year?
- 13 A. Yes.
- 14 O. And when would that have been?
- 15 A. Hold on just a second. I'm going to work from the
- 16 date of the explosion backwards in time.
- 17 O. Okay.
- 18 A. Let me ask you a clarifying question on your
- 19 question to me. You asked me if there was ever a
- 20 problem with ventilation. In my answer do you want me
- 21 to include problems with ventilation --- face
- ventilation on the working section in that answer?
- 23 Q. Yeah.
- A. Okay. On March 23rd, 2010, there was a failure to
- follow the approved ventilation, methane and dust

- control plan on the O66-0 MMU. On that same day,
- 2 March 23rd, 2010, another citation was issued for
- failure to followed the approved ventilation, methane
- 4 and dust control plan on the O66-0 MMU. On the same
- 5 date, March 23rd, 2010, on the 067-0 MMU, the
- 6 ventilation plan wasn't being complied with, in that
- 7 there was insufficient quantity of air in the last
- 8 open crosscut.
- 9 On March 17, 2010, the operator was cited for not
- following approved ventilation plan on the 040-0 MMU
- for the belt air flowing in the wrong direction.
- 12 On March 11th, 2010, on Two North belt, between
- 13 Crosscut 67 and Crosscut 57, man doors were a thousand
- 14 foot apart. They were required to be at 600 foot
- 15 intervals.
- 16 ATTORNEY BABINGTON:
- 17 Sorry to interrupt. How far back in the
- mine's history do you --- would like him to go?
- 19 MR. MCGINLEY:
- 20 Actually, if there's something in
- 21 writing, that would --- you know, that would answer
- the question. He could just put that in the record.
- 23 ATTORNEY BABINGTON:
- 24 Well, actually ---.
- 25 MR. MCGINLEY:

- 1 I'm just thinking back until --- I was
- 2 trying to get a feel for the ventilation problems and
- 3 the correlation between the revised ventilation and
- 4 what was approved and ventilation problems that were
- 5 sort of in the mine. I just have a lack of adequate
- 6 ventilation, so I really didn't think of anything more
- 7 than going back to that mid-December ---.
- 8 ATTORNEY BABINGTON:
- 9 Okay.
- 10 A. I can move through that pretty quick, if you want
- me to, back to mid-December.
- 12 ATTORNEY BABINGTON:
- 13 We can go through it pretty quickly?
- 14 A. Yeah.
- 15 MR. MCGINLEY:
- 16 Okay.
- 17 ATTORNEY BABINGTON:
- 18 Okay. Actually, can we go off the record
- 19 for one second?
- 20 A. Sure.
- 21 OFF RECORD DISCUSSION
- 22 ATTORNEY BABINGTON:
- 23 Let's go back on.
- 24 BY MR. MCGINLEY:
- 25 Q. Let me just ask you a question, a general

- 1 question. You've had a chance to briefly look at your
- 2 records going back from the date of the explosion to
- 3 December 2009. Just as a general matter, were there
- 4 many violations? How would you characterize the
- 5 ventilation violations that fell in the category of
- 6 the 75-300 series citation?
- 7 A. I would agree with the term many.
- 8 O. Numerous?
- 9 A. Numerous, yeah.
- 10 Q. Do you know whether when these citations, any of
- 11 these citations were issued for inadequate
- 12 ventilation, coal was being produced?
- 13 A. Yes. The majority of these issuances would've
- been issued on a production day. I mean, that's no
- different than any other mine. I mean, normally when
- we're inspecting, they are producing.
- 17 Q. Accept on the night term; right? At this mine the
- night term, nightshift, isn't a producing shift?
- 19 A. I'd have to look and verify that. You know, each
- 20 mine would have scheduled ---
- Q. Right.
- 22 A. --- maintenance at some point in time.
- 23 Q. Some of these citations required withdrawal of
- 24 miners?
- 25 A. Let me answer it this way. If there were

- 1 withdrawal orders issued, I would have to research to
- 2 provide an accurate answer back to your beginning date
- in mid-December to the explosion date. I would have
- 4 to research that to accurately answer it.
- 5 Q. Would it be inaccurate to say that this mine, the
- 6 Upper Big Branch Mine, always had sufficient fresh air
- 7 and air flow?
- 8 A. Would it be inaccurate?
- 9 Q. Right.
- 10 A. That would be an inaccurate statement, because
- there are citations during that time frame for
- inadequate amount of air.
- Q. So was this mine producing coal when there wasn't
- proper ventilation, based on those violations?
- 15 A. Yes. Based on my knowledge of the history, you
- know, adding to my previous statement, the majority of
- these ventilation violations were written when the
- 18 mine was in production.
- 19 Q. And so the company should've stopped production
- and fixed these ventilation problems, notwithstanding
- 21 what MSHA inspectors did. Is that a fair statement?
- 22 A. When they recognize a hazard in the mine, they're
- required to correct it. And that requirement's by
- 24 law.
- 25 Q. You said earlier in the interview that at the

1 Upper Big Branch Mine they were using belt air prior

- 2 to December 10th. Do you recall that?
- 3 A. Yes.
- 4 Q. Had they been approved to use belt air prior to
- 5 December 10th?
- 6 A. I would have to say yes. The prior procedure in
- 7 the agency was to file a petition for modification to
- 8 use belt air. That changed whenever we promulgated
- 9 the Belt Air Rule, the law. And within that
- 10 regulation, it required a rejustification for the use
- of belt air. The answer is, yes, they were using it
- prior to, and they were legal because ---. I'll put
- it this way, is if they had a petition for
- 14 modification filed with the agency and approved for
- the use of belt air, they were legal. They were
- 16 within the law.
- 17 Q. If they had a petition filed and approved or just
- 18 filed?
- 19 A. Filed and approved.
- 20 Q. Okay.
- 21 A. They can't implement a petition until it's
- 22 approved --- it's reviewed and approved.
- 23 Investigated, reviewed and approved.
- Q. The term negative pressure's been used quite a few
- 25 times in the interviews. I don't know if anyone

- defined it for the record. Can you ---
- 2 A. Sure.
- Q. --- in terms of, you know, what it means in the
- 4 ventilation plan at Upper Big Branch?
- 5 A. Yes. Flow of air occurs because of pressure
- 6 differentials. It flows from positive to negative.
- 7 You disagree with that, Tim?
- 8 Okay. And there's different --- different
- 9 ventilation schemes specific to each mine.
- 10 And in this particular mine, the Bandytown fan is
- an exhausting fan which creates negative pressure in
- the mine entries, at that portion of the mine. Does
- that answer your question?
- Q. Yeah. And as a practical matter, negative
- pressure in the mine, at some particular point, what's
- the implication of that in terms of the mine's air?
- 17 A. When you design a mine, you design a mine
- 18 ventilation system to create proper air flow.
- 19 O. Continuous flow of a --- at a ---
- 20 A. Yes.
- 21 Q. --- velocity that will carry coal dust and methane
- 22 outby ---?
- 23 A. That's correct.
- Q. So you don't want negative pressure?
- 25 A. No. I'm not saying that.

- 1 Q. Okay.
- 2 A. Pressure differentials are what create airflow,
- direction of airflow. And the pressure differentials,
- 4 positive and negative, are a part of mine design that
- 5 creates airflow. And you have to have the direction,
- 6 the proper velocity and quantity to properly ventilate
- 7 the mine.
- 8 Q. The plan approved on the 18th of December, the
- 9 idea was to reverse belt air? Is that your statement?
- 10 A. The plan that was approved in December was to
- 11 discontinue the use of belt air, which required a
- reversal of direction, because prior to it flowed inby
- towards the longwall and the reversal direction of
- 14 flow would've been outby, away from the longwall.
- 15 O. And then they weren't successful in doing that?
- 16 A. They were not successful in implementing the plan
- that I approved on December the 18th.
- 18 O. And that's why they came out on December 23rd?
- 19 A. Yes.
- Q. You said that Adkins a couple of different times
- in your discussion with him said, we'll do whatever it
- takes. What did that mean to you?
- 23 A. That meant to me that they would consider an
- 24 exhaustive fan shaft or developing entries to the
- 25 surface to provide an exhausting fan, negative

- 1 pressure, outby the longwall district.
- 2 Q. So in response, you were making suggestions ---?
- 3 A. I was making --- I was holding a discussion ---
- 4 Q. Right.
- 5 A. --- and looking at the what-ifs, not necessarily
- 6 --- you know, not necessarily a discussion that said
- 7 that you need to do this or a suggestion that you do
- 8 that.
- 9 Q. Right.
- 10 A. You know. I mean, we were holding a general
- 11 discussion about ventilation.
- 12 Q. You were just throwing out ideas ---
- 13 A. That's right.
- 14 O. --- back and forth?
- 15 A. Yeah, that's right.
- 16 Q. In his public statement to the Senate Committee,
- 17 Don Blankenship said with regard to ventilation
- issues, our engineers resisted making the changes in
- 19 once instance to the point of shutting down production
- for two days before agreeing to MSHA's ventilation
- 21 plan changes. We opposed the changes because our
- 22 engineers believed they made the mine less safe. Do
- 23 you have any knowledge of Massey engineers resisting
- changes, saying that what MSHA was talking about would
- 25 make the mine less safe?

- 1 A. I don't have personal knowledge of it.
- 2 O. You never --- never heard that?
- 3 A. I can't tell you that I heard anyone from that
- 4 company say that this would --- this would make this
- 5 mine unsafe.
- 6 Q. Do you know anything about the company shutting
- down production for two days before agreeing to MSHA's
- 8 ventilation plan changes?
- 9 A. I don't, and, you know, I mean, the only thing
- that I can --- and I don't know what time exactly
- they're referring to, but you have to realize that to
- make these changes, if they're major ventilation
- changes, that people have to be removed from the mine.
- And they were in the process, during that time frame,
- of trying to implement changes that they had made
- 16 request for and that I approved.
- 17 The law, the regulations require that they be out
- 18 of the mine, they meaning miners. And only the
- 19 persons that are involved in the change itself can be
- 20 underground. They cannot produce during that period
- of time. If that's what they're referring to, that
- they kept the mine down for two days, then that's what
- 23 it was.
- Q. One more question. Mr. Blankenship said in his
- 25 public testimony before the Senate Labor, or I'm

- 1 sorry, Appropriations Committee last week that at
- 2 Upper Big Branch we complied with MSHA's safety
- orders, even when we strenuously disagreed with them
- 4 and believed them to be detrimental to the health and
- 5 safety of the mine. We particularly disagreed with
- 6 MSHA's ventilation plan for Upper Big Branch Mine. In
- 7 your experience, are you aware of any strenuous
- 8 disagreement that Massey made about the ventilation
- 9 plan and in the context of that strenuous
- 10 disagreement, them taking the position that what MSHA
- 11 wanted to do would be detrimental to the health and
- 12 safety of the mine?
- 13 A. Well, let me --- let me say this. I don't develop
- plans for a coal mine. The term, MSHA wants to do, is
- 15 entirely incorrect. They develop proposed plans for
- their mine. My folks review those plans --- are they
- a prudent mine design and do they meet our regulations
- and policy. And recommend either approval or
- 19 disapproval to me as a district manager. When they
- 20 come to me with the routing recommendation, I also
- 21 review that same plan or those plans to see if there
- 22 are --- if they are a prudent design and do they meet
- our regulation and policy.
- Q. Is it fair to say that every ventilation plan
- revision in the last year at Upper Big Branch was a

- plan that was proposed by Massey? I mean, they
- 2 submitted revisions, a plan and then revisions ---.
- A. Yes, that's a fair statement. Again, I'll
- 4 reiterate that --- that we hold discussions on plans
- 5 submitted by a coal company. I certainly don't
- 6 develop a plan and call them in and say, here's your
- 7 plan.
- 8 Q. Right. Of course.
- 9 A. Yeah.
- 10 Q. And just finally, so are you aware of any Massey
- 11 engineers or executive that would tell you or tell
- 12 people that work for you, we strenuously disagree with
- this ventilation plan and that's been approved and we
- think it's going to make the mine less safe than what
- 15 we want it to?
- 16 A. Those discussions were never held with me as a
- district manager, I'll answer it in that manner.
- 18 O. And you didn't hear anything from your people to
- 19 that effect?
- 20 A. There are certainly disagreements that occur
- 21 during a review process, but, again, you know, I
- 22 wasn't --- I wasn't made aware of those type of
- 23 disagreements.
- Q. It would be a fairly noteworthy position for a
- company to take to say, this plan is going to make the

- 1 mine less safe, so you'd remember that?
- 2 A. Oh, absolute --- if that were voiced to me, I
- 3 certainly would remember. And that wasn't voiced to
- 4 me as a district manager.
- 5 MR. MCGINLEY:
- 6 Thanks very much.
- 7 A. Uh-huh (yes). You're welcome.
- 8 ATTORNEY BABINGTON:
- 9 Okay. Mr. Hardman, you requested that
- 10 the roster be read at the end of the record for this
- 11 particular interview?
- 12 A. Yes, I did.
- 13 ATTORNEY BABINGTON:
- 14 So just to clarify that the --- you know,
- 15 I'm not going to repeat the names of the people who
- 16 did the interviewing. We already have that at the
- beginning of the record. But the people in, that were
- watching the interview, I want to clarify that they
- 19 were not --- not all of them were here for the entire
- 20 interview. They were here --- some of them were here
- 21 intermittently.
- 22 A. That's correct.
- 23 ATTORNEY BABINGTON:
- 24 Okay. So those were Bill Tucker with the
- 25 State; Wally McMasters with MSHA; Agent Wilson with

Page 83 1 STATE OF WEST VIRGINIA) 2 3 4 CERTIFICATE 5 I, Brett Steele, a Notary Public in and for the State of West Virginia, do hereby certify: 6 7 That the witness whose testimony appears in the foregoing deposition, was duly sworn by me on said 8 date and that the transcribed deposition of said witness is a true record of the testimony given by 10 11 said witness; That the proceeding is herein recorded fully 12 and accurately; 13 That I am neither attorney nor counsel for, 14 15 nor related to any of the parties to the action in which these depositions were taken, and further that I 16 17 am not a relative of any attorney or counsel employed by the parties hereto, or financially interested in 18 this action. 19 20 21 22 Brett State 23 24 25