

**Addition to Appendix A**

<b>Section</b>	<b>Recommendation</b>	<b>Corrective Action</b>	<b>Expected Completion Date</b>
Mine Plan Approvals	<p>The Director of PEIR should collaborate with the Administrator for Coal to revise the Mine Plan Approval (MPA) database system to track the time required to process ventilation plans and supplements. The Administrator should direct district managers to use MPA to monitor the time required to process plans and take appropriate administrative actions when necessary.</p>	<p>PEIR will collaborate with Coal to revise the Mine Plan Approval (MPA) database system to track the time required to process ventilation plans and supplements. The Administrator for Coal will direct district managers to use MPA to monitor the time required to process plans and take appropriate administrative actions when necessary.</p>	12/7/2012
Enforcement of 30 CFR 48.3	<p>The Administrator for Coal should direct revisions to the General Coal Mine Inspection Procedures and Inspection Tracking System Handbook to:</p> <ul style="list-style-type: none"> <li>• Identify training records required by 30 CFR 75.338(a) and 75.1501(a)(3) as records that are to be inspected during a regular inspection, as well as any records of any other training required by MSHA regulations.</li> <li>• Specify the percentage of miners for which training records are to be inspected during a regular inspection.</li> <li>• Include the requirements of CMS&amp;H Memo No. HQ-08-055-A that direct inspectors to question miners on their training related to roof control plans and document such information. The Administrator also should consider similar guidance regarding training related to ventilation plans.</li> </ul>	<p>This is included in the Evaluation of Enforcement Policies and Procedures directed by As Main on July 21, 2010, which is well underway. All of the policies and procedures have been collected and identified, and during the week of January 17, 2012, Assistant Secretary Main created a Task Force to begin the next phase to be overseen by the Deputy Assistant Secretary of Operations. The next phase is the review of the draft handbook and the development of a final handbook for inspectors to use. The final handbook will also include the revisions outlined in this recommendation and any additional procedure and policy changes identified in the internal review report.</p>	12/31/2012

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<b>Section</b>	<b>Recommendation</b>	<b>Corrective Action</b>	<b>Expected Completion Date</b>
<p>Enforcement of 30 CFR 75.360, 75.362, 75.363, and 75.364</p>	<p>The Administrator for Coal should direct the revision of the General Coal Mine Inspection Procedures and Inspection Tracking System Handbook to describe the purpose of an inspector's review of the operators' examination records, and how the review should be utilized during inspections. The revised procedures should also identify specific items that should be checked when reviewing mine examination records, such as whether:</p> <ul style="list-style-type: none"> <li>• examinations have been conducted at required intervals;</li> <li>• examination records indicate violations of mandatory safety or health standards;</li> <li>• hazardous conditions have been properly recorded;</li> <li>• records of violations or hazardous conditions indicate a need for inspectors to follow up;</li> <li>• corrective actions have been recorded for reported hazardous conditions; and</li> <li>• ventilation of worked out and outby areas have been evaluated properly.</li> </ul>	<p>This is included in the Evaluation of Enforcement Policies and Procedures directed by As Main on July 21, 2010, which is well underway. All of the policies and procedures have been collected and identified, and during the week of January 17, 2012, Assistant Secretary Main created a Task Force to begin the next phase to be overseen by the Deputy Assistant Secretary of Operations. The next phase is the review of the draft handbook and the development of a final handbook for inspectors to use. The final handbook will also include the revisions outlined in this recommendation and any additional procedure and policy changes identified in the internal review report.</p>	<p align="center">12/31/2012</p>
<p>Enforcement of 30 CFR 75.400 and 75.403</p>	<p>The Administrator for Coal should issue a Program Information Bulletin advising operators of the need for them to sample or test mine dust to ensure compliance with 30 CFR 75.403.</p>	<p>CMS&amp;H's Administrator will issue a Program Information Bulletin to advise mine operators to sample or test mine dust to ensure compliance with 30 CFR 75.403.</p>	<p align="center">12/31/2012</p>

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<b>Section</b>	<b>Recommendation</b>	<b>Corrective Action</b>	<b>Expected Completion Date</b>
<p>Enforcement of 30 CFR 75.400 and 75.403</p>	<p>The Administrator for Coal should direct the revision of the General Coal Mine Inspection Procedures and Inspection Tracking System Handbook to improve planning, tracking, and oversight of rock dust sampling.</p> <ul style="list-style-type: none"> <li>• Inspectors should be directed to evaluate the adequacy of rock dust maintenance by collecting spot samples from a representative number of locations in outby areas. Sampling strategies should provide analysis results ahead of second mining, including longwall gate entries.</li> <li>• Inspectors should be directed to plot rock dust sample locations on regular inspection tracking maps. Sample collection dates and locations too wet to sample should be specified on the map.</li> <li>• Consideration should be given to replacing the Rock Dust Survey Wet Locations Tracking Form with tracking maps, or provide instruction to use the Form in the Handbook. If retained, the Form should be modified to include documentation of the inspector's name and date that the wet area was re-inspected. Also, the sample location status options on the Form should match those available on the computer application.</li> <li>• Inspectors should be directed to document in their notes the locations of section loading points and the last row of samples collected during rock dust surveys.</li> <li>• When collecting rock dust samples, inspectors should be directed to document in their notes the facts needed to evaluate negligence and gravity of potential 30 CFR 75.403 violations.</li> <li>• Inspectors should be directed to collect crosscut samples in the first row of each rock dust survey and in each third row thereafter.</li> <li>• Inspectors should be directed to resample non-compliant locations after re-dusting and before terminating any related enforcement actions.</li> <li>• Enforcement procedures should ensure re-dusting at all noncompliant sample locations, even if the survey was compliant.</li> </ul>	<p>This is included in the Evaluation of Enforcement Policies and Procedures directed by As Main on July 21, 2010, which is well underway. All of the policies and procedures have been collected and identified, and during the week of January 17, 2012, Assistant Secretary Main created a Task Force to begin the next phase to be overseen by the Deputy Assistant Secretary of Operations. The next phase is the review of the draft handbook and the development of a final handbook for inspectors to use. The final handbook will also include the revisions outlined in this recommendation and any additional procedure and policy changes identified in the internal review report.</p>	<p>12/31/2012</p>

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<b>Section</b>	<b>Recommendation</b>	<b>Corrective Action</b>	<b>Expected Completion Date</b>
Section 103(a) Inspections	<p>The Administrator for Coal should direct a complete evaluation of the effectiveness of the ITS. This evaluation should consider the time used to maintain and update the system and the value realized in tracking the progress of an inspection. Continued use of the ITS and possible modifications to the system would be determined from this analysis. Modifications should eliminate areas of duplication, minimize the time required to document complete inspections, and provide enforcement personnel with a useful resource for conducting quality inspections.</p>	<p>This is included, in part, with the Evaluation of Enforcement Policies and Procedures directed by AS Main on July 21, 2010, which is well underway. All of the policies and procedures have been collected and identified, and during the week of January 17, 2012, Assistant Secretary Main created a Task Force to begin the next phase to be overseen by the Deputy Assistant Secretary of Operations. The next phase is the review of the draft handbook and the development of a final handbook for inspectors to use. The final handbook will also include any additional procedure and policy changes identified in the internal review report. Following the completion of the handbook by 12/31/2012, the Administrator for Coal will evaluate the effectiveness of the ITS in accordance with this recommendation.</p>	9/30/2013

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<b>Section</b>	<b>Recommendation</b>	<b>Corrective Action</b>	<b>Expected Completion Date</b>
Section 103(i) Spot Inspections	The Administrator for Coal should direct the revision of the Uniform Mine File Procedures Handbook to include an up-to-date copy of the Mine Information Form generated from MSIS.	Consistent with the Assistant Secretary's instructions to the Deputy Assistant Secretary for Operations to develop of a draft centralized administrative review process for Directives, the Uniform Mine File Procedures Handbook will be revised to include an up-to-date copy of the Mine Information Form generated from MSIS.	12/31/2013