DOCUMENT NO: ASAP 2011 VERSION: 2008-03-14 Page 1 of 3

TITLE: Part 18 Machine/System Approval and Extension of Approval

MSHA Mine Safety and Health Administration, Approval & Certification Center

1.0 PURPOSE

The purpose of this Standard Application Procedure (SAP) is to explain the basic investigative process, and specify the minimum documentation and requirements necessary to initiate an investigation leading to the issuance of a Machine/System Approval or Extension of Approval.

2.0 SCOPE

This SAP encompasses all applications submitted for approval or extension of approval under 30 CFR, Part 18, except for high-voltage machines/systems or intrinsically safe apparatus or associated apparatus.

3.0 REFERENCES

- 3.1. 30 CFR Part 18 "Electric Motor-Driven Mine Equipment and Accessories"
- 3.2. APOL1009 "Application Cancellation Policy"

4.0 **DEFINITIONS**

None

5.0 APPLICATION PROCEDURE

Questions relative to these Application Procedures can be directed to the Approval and Certification Center (A&CC), Electrical Safety Division personnel at 304-547-0400. Assistance through technical consultations is available by appointment.

- 5.1. All applications for a Machine/System Approval or Extension of Approval must include the documentation listed in Sections 5.1.1 through 5.1.6.
- 5.1.1. An approval or extension of approval application letter. (Refer to Enclosures A and B.) The application letter should include the applicant's name and address; application date; model, voltage rating and type of machine/system; a six digit Company Application Code Number assigned by the applicant (this number is used to identify the application and should not have been assigned to an application previously submitted

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Print Date: 3/14/2008

by the applicant); the name, address, telephone, FAX number and e-mail address of the individual MSHA is to contact regarding the application and billing. The application letter should include a date when the machine will be completely assembled and ready for inspection. This inspection may be waived if the machine is similar to a machine that was previously inspected. The approval number of the machine previously inspected should be referenced in the application letter. The letter should be signed by the person responsible for answering questions regarding the subject application.

- 5.1.2. One completed checklist. (Refer to Enclosure C.) Additional information may be required due to the great variety of mining machines and systems.
- 5.1.3. One copy of each drawing, bill of material, specification, etc., which shows the details of the design and construction of the equipment as related to the applicable requirements of 30 CFR, Part 18. Documents previously accepted by MSHA need not be submitted, unless modified.
- 5.1.4. A complete list of the drawings, bills of material, specifications, certified components, intrinsically safe components, etc. that are submitted, referenced, or used to construct the equipment. (Refer to Enclosure D.)
- 5.1.5. A Factory Inspection Form in accordance with Title 30 CFR, Part 18, or a certified statement in lieu of the Factory Inspection Form. (Refer to Enclosures E and F.) The certified statement can be written in the application letter under the machine/system description.
- 5.1.6. A Caution Statement in accordance with Title 30 CFR, Part 18. (Refer to Enclosure G.)
- 5.2. Upon receipt of the application package by the A&CC, a letter estimating the maximum anticipated fee to complete the investigation and a tentative starting date will be mailed to the applicant.
- 5.2.1. An authorization response form will also be included which indicates agreement, by the applicant, to pay expenses up to the maximum estimated fee for the investigation or requests cancellation of the application. This form must be returned to the A&CC before any further action can be taken on the application. If the form letter is not returned within thirty days from the date of the letter, the application will be canceled.

Print Date: 3/14/2008

DOCUMENT NO: ASAP 2011 VERSION: 2008-03-14 Page 3 of 3

TITLE: Part 18 Machine/System Approval and Extension of Approval

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5.2.2. The applicant can pre-authorize the application by authorizing up to a certain dollar amount of investigation time in the application letter. This will permit MSHA to initiate the investigation while the fee estimation process is taking place.

- 5.2.3. Applicants who submit applications to MSHA on a regular basis may choose to submit a blanket authorization indicating their agreement to pay incurred fees.
- 5.2.4. When unforeseen circumstances encountered during the investigation result in exceeding the estimated fee, the applicant will be contacted and given the option of either canceling the action or accepting a revised fee estimate.
- 5.3. During the investigation, the A&CC investigator assigned to evaluate the application will review the application and contact the individual designated in the application letter to discuss any discrepancies. The applicant will receive a letter listing the discrepancies, including a listing of additional documentation or components required for evaluation or test. If the applicant does not resolve all of the discrepancies listed in the letter within the time specified in the discrepancy letter, the investigation will be cancelled per A&CC APOL1009 "Application Cancellation Policy".
- 5.4. After all of the technical documents are evaluated, a factory inspection may be required if the machine is a new design. Once this is completed and any changes required as a result of the inspection are finalized, the official approval number will be issued, unless specific circumstances dictate otherwise.
- 5.5. The final Approval or Extension of Approval letter, drawing list, and approval plate design (if applicable) will be mailed to the applicant when the investigation is completed.
- 5.6. The applicant will receive an invoice for the cost of the investigation after the investigation is either completed or cancelled.

Print Date: 3/14/2008

ABC Company, 950 Mining Road, Pittsburgh, PA 15293

December 2, 2007

Chief, Approval and Certification Center RR #1, Box 251, Industrial Park Road Triadelphia, West Virginia 26059

Gentlemen:

This is a request for a new approval of the Model 100, 950 volt, 3 phase, 60 hertz, alternating current, Roof Bolter, Company Code No. 120207.

We are requesting approval of the subject machine assembled according to Machine Layout Drawing 100.

The subject machine consists of two (2) 125 hp, 950 volt, alternating current, Motors, one (1) starter, three (3) pushbutton stations, and one (1) No. 2/0 trailing cable.

Since this is our first approval of a 950 volt design, a prototype will be completely assembled and available for inspection on January 15, 2008, at the ABC Company, 950 Mining Road, Pittsburgh, Pennsylvania 15293.

Enclosed are all the new or revised drawings and specifications pertinent to this application. If there are any questions, please contact Mr. John Smith at (555) 555-0001, fax (555) 555-0163, or email at smith.john@abc_mining.com

Sincerely,

John Doe Design Engineer

Enclosure

DEF Company, 440 Mining Road, Pittsburgh, PA 15293

December 21, 2007

Chief, Approval and Certification Center RR #1, Box 251, Industrial Park Road Triadelphia, West Virginia 26059

Gentlemen:

This is a request for an extension of Approval No. 2G-8990A, Investigation No. MR-9191, to include the subject Model 550A, 550 volt, 3 phase, 60 hertz, alternating current, Pump Assembly, Company Code No. 122107.

We are requesting an extension of approval of the subject machine assembled according to Assembly Drawing A-550.

This machine is similar to the Model 440A, 440 volt, 3 phase, 60 hertz, alternating current, Pump Assembly built according to Assembly Drawing A-440, Approval No. 2G-9999A-0, Investigation No. MR-9191, letter dated July 1, 1999, except as follows:

- 1. Voltage increased from 440 to 550 volts.
- 2. Horsepower decreased from 45 to 35 horsepower.
- 3. Decreased size in trailing and pump motor cable.

Enclosed are all the new or revised drawings and specifications pertinent to this application. If there are any questions, please contact Mr. John Doe at (555) 555-9191, fax (555) 555-9199, or email at doe.john@def_mining.com

Sincerely,

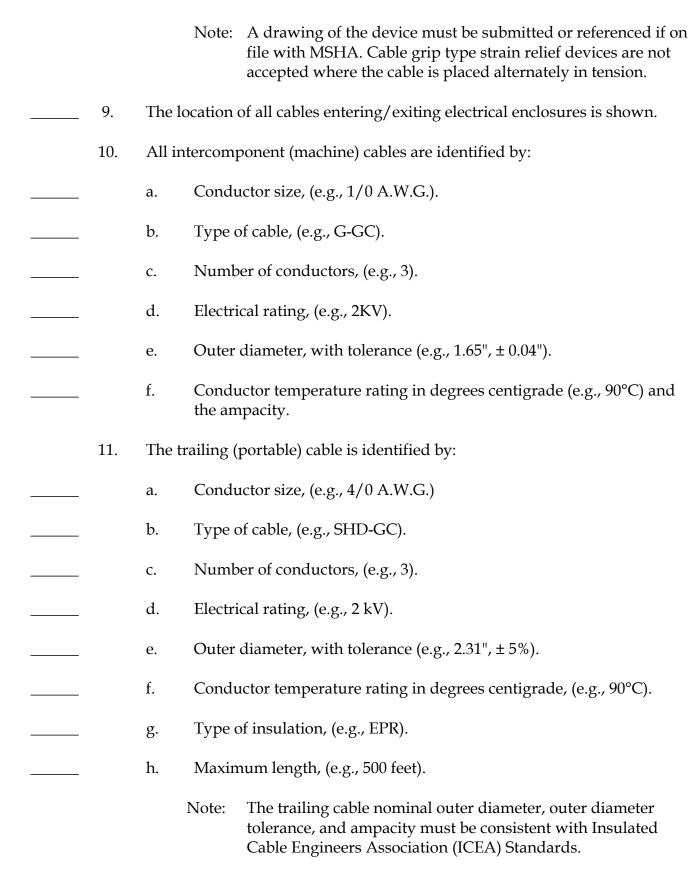
John Doe Design Engineer

Enclosure

APPROVAL/EXTENSION OF APPROVAL CHECKLIST

Complete <u>all</u> of the following by adding a check mark or N/A on the lines provided. The check mark signifies the item has been positively addressed. N/A signifies the item is not applicable to the design of the machine/system.

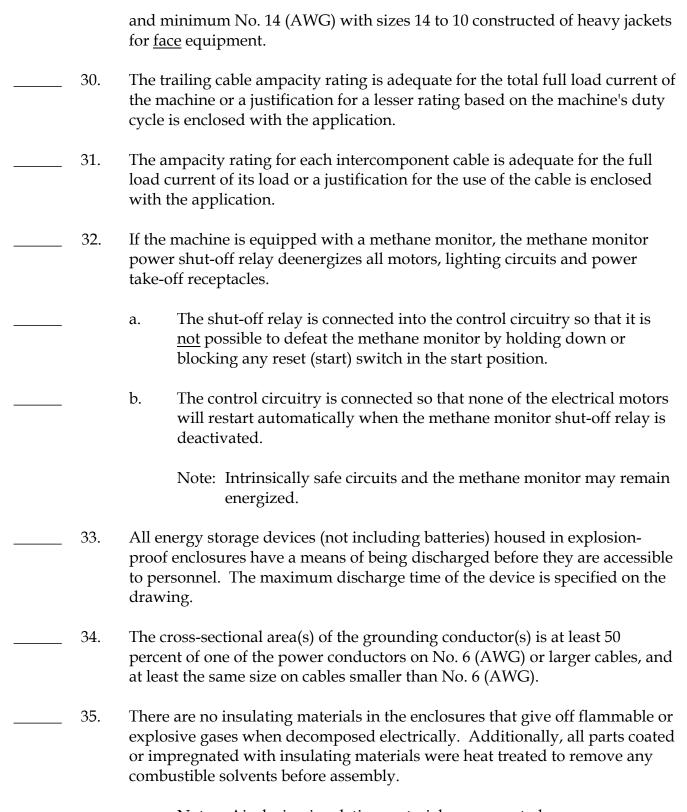
Administr	<u>ative</u>		
	1.	The appropriate Approval or Extension of Approval application letter is enclosed.	
	2.	A drawing list, checklist, Caution Statement and Factory Inspection Form (FIF) or a certified statement in lieu of an FIF is enclosed.	
	3.	All correspondence, specifications and lettering on documents are in English or translated into English and legible.	
	4.	All documents are titled, numbered, dated, and show the latest revision or date. If multiple pages are submitted, this information is on each page.	
	5.	There are no pencil or ink notations, or correction fluid (white-out) on the documents.	
	6.	All documents include a note "Do not change without approval of MSHA" on each page or sheet.	
	7.	All submitted drawings, including sheet numbers, are traceable (referenced) back to the one or more drawings to which the equipment built.	
<u>Technical</u>			
	8.	The assembly drawing(s) includes the following:	
		a. The overall length, width and height of the machine.	
		b. Location of all electrical enclosures and intrinsically safe components.	
		c. Location of the approval plate and method of attachment.	
		d. An insulated strain relief device installed where the trailing cable enters the machine, where cables exit a battery enclosure on battery-powered equipment and at both ends of all cables leading to components not on a common frame. (Enclosure C)	



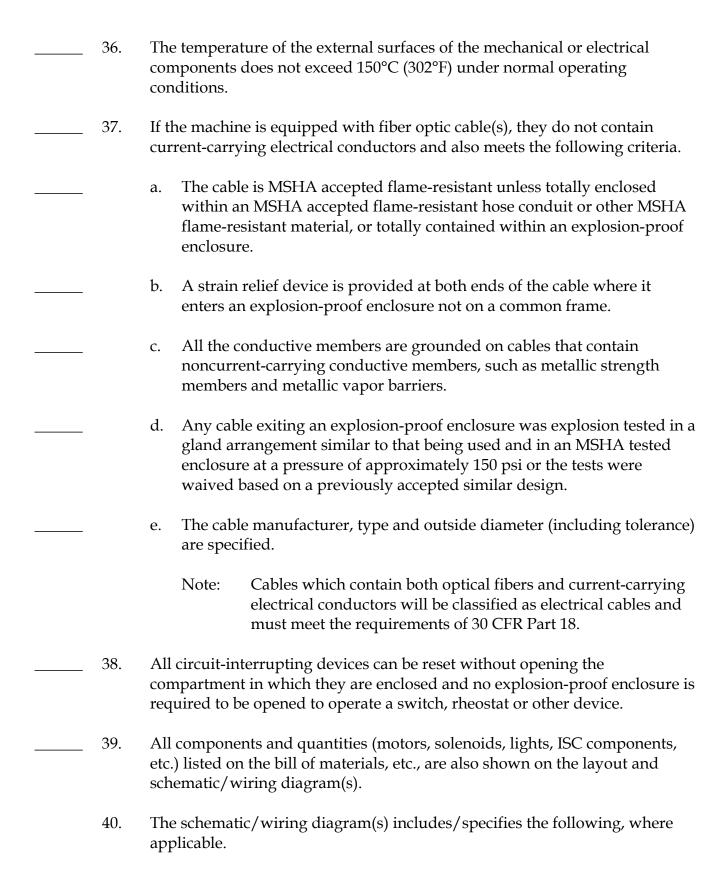
12.	All explosion-proof electrical enclosures are identified by:		
	a. Manufacturer.		
	b. Model/Type Number (for motors, specify frame number).		
	c. Electrical Rating (if applicable). For motors, specify voltage, phase, hertz, a.c., or d.c., horsepower and full load amperes; and for headlights, specify voltage and wattage.		
	d. Function, (e.g., pump motor, controller).		
	e. MSHA certification number, including extension number. If the original issuance is used, indicate "-0".		
	Note: If no certification number was issued, indicate the approval number and extension number under which the component was accepted.		
	f. Quantity.		
13.	All intrinsically safe circuits/components are identified by:		
	a. Manufacturer.		
	b. Model/Type Number.		
	c. Quantity.		
	d. MSHA Evaluation Number, including extension number. If the original issuance is used, indicate "-0".		
14.	If maximum tramming speed of the machine is greater than 2.5 mph;		
	a. An audible warning device is specified and shown on the assembly drawing.		
	b. At least one headlight is installed and red light-reflecting material (minimum 10 square inches each) is specified for both the front and rear of the machine.		
	Note: Reflective paint is not acceptable to meet this requirement. Also, it is recommended that all mobile equipment have redreflective material.		

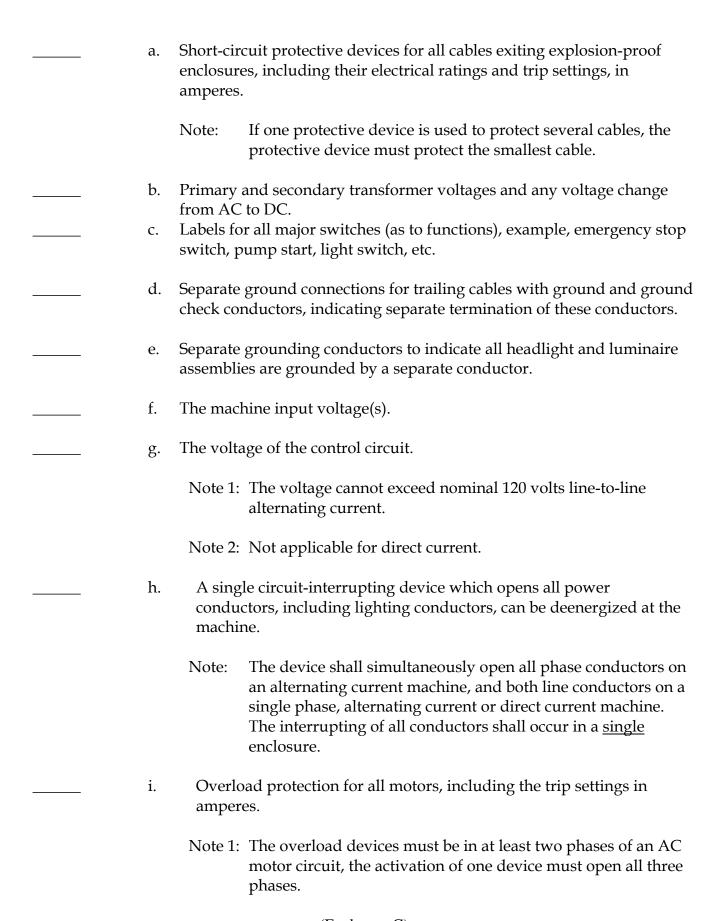
	c. A cable reel is provided for line powered equipment.
 15.	If the maximum length of the trailing cable exceeds 500 feet, the maximum starting inrush current is specified and the setting of the outby circuit breaker or protective device is specified and set as close as practicable to this value. The trip setting and cable length does not exceed the values in Tables 8 and 9 of 30 CFR, Part 18.
 16.	If the machine is a boring-type continuous miner, a view is shown depicting at least a 200 square inch cross-sectional area for auxiliary face ventilation.
	Note: Two or more spaces are acceptable as long as their total cross-sectional area is a minimum 200 square inches.
17.	If the machine is equipped with a powered dust collector, the MSHA 25B Approval Number (for the dust collector) is specified on the application letter or the drawings.
	Note: If a "Wet Dust Collecting System" or other system is used, this should be indicated.
 18.	If the machine is equipped with a <u>belt</u> conveyor, the design includes control switches to automatically stop the driving motor in the event the belt is stopped or abnormally slowed down. The drawing(s) indicate whether a belt or chain conveyor is used.
	Note: Short transfer-type conveyors will be exempted from this requirement.
19.	If the machine is equipped with a cable reel, at least one slip-ring is used for the ground circuit. The cable reel spooling devices*, such as the hub, flange, cable guide, sheave, etc. that the trailing cable normally contacts are all insulated with flame-resistant material. The cable guide and sheave material is specified on the documents as MSHA flame-resistant and the manufacturer, material and MSHA number (if issued) are identified. *Note: Isolated components, insulated from the machine frame, are acceptable if they are inaccessible to personnel during normal operation of the machine. These components do not need to be insulated from the cable.
 20.	If the machine's nameplate rating is from 661 to 1000 volts, a shielded trailing cable is provided or a cable reel is employed with the cable insulation rated at 2000 volts or more

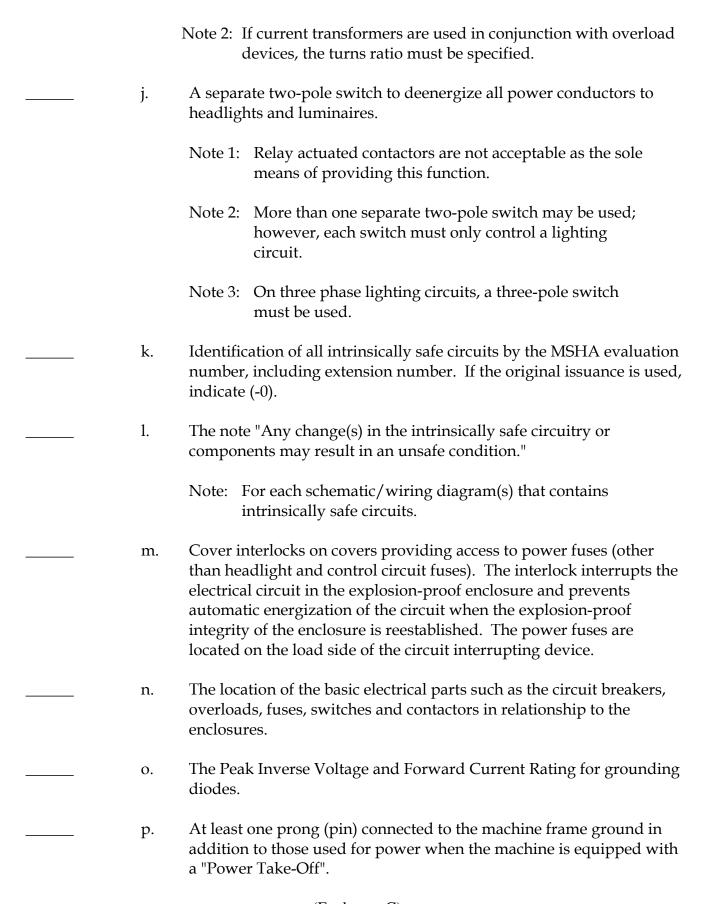
 21.	If the machine is battery-powered, the nameplate rating is less than or equal to 240 volts nominal.			
 22.	If the machine is battery-powered, the battery covers are secured (method shown) in the closed position.			
 23.	If the machine is battery-powered, the battery plugs and receptacles are either explosion-proof, interlocked or padlocked and held in place by a threaded ring or equivalent. A connector within a padlocked enclosure will be acceptable.			
	Note: In lieu of a padlock, a device that is captive and requires a special tool to disengage to allow separation of the connector, along with a caution tag indicating that the connector must not be disengaged under load, is an acceptable means for meeting this requirement. A drawing of the Caution Tag is specified.			
 24.	If the machine is battery-powered, the Part 7 Approval Number or Part 18 Certification Number of the battery(s) is specified.			
 25.	If the machine is battery-powered, short-circuit protection is provided for each wire or cable leaving the battery box and the protective device is in an explosion-proof enclosure as close as practical to the battery terminals.			
	Note: Protective devices installed within a nearby explosion-proof enclosure will be acceptable provided the exposed portion of the cable does not exceed 36 inches.			
 26.	All plugs and receptacles are explosion-proof or mechanically or electrically interlocked unless used in an intrinsically safe circuit.			
	Note: Complete Item 23 for battery plugs and receptacles.			
 27.	If the machine is line powered by direct current, the nameplate rating is less than or equal to 550 volts.			
28.	All remote control cables are intrinsically safe or constructed of a heavy jacket with conductors no smaller than No. 14 (AWG), if not enclosed in hose conduit. If enclosed in hose conduit, the cable tensile strength is not less than No. 16 (AWG) 3 conductor.			
 29.	The trailing cable is minimum No. 4 (AWG) for direct current mobile haulage units, minimum No. 6 (AWG) for alternating current haulage units.			



Note: Air drying insulating materials are excepted.







	q.	The trip setting in amperes of the outby circuit breaker or protective device protecting the trailing cable, if not specified on the assembly drawing or bills of material.
		Note: On direct current circuits, if fuses are used, indicate the electrical rating and that it is MSHA approved.
41.		e applicable the following information shall be provided s/statements are acceptable).
	a.	All electrical cables are isolated from hydraulic lines.
	b.	All headlights and luminaires are protected by guarding or location.
	c.	All moving parts are guarded (rotating belts/chains, fan blades, etc.).
	d.	The maximum tramming speed (unloaded).
		Note: Line-powered equipment cannot exceed 6 M.P.H.
	e.	Wiring for non-intrinsically safe circuit conductors and intrinsically safe circuit conductors is not intermingled with wiring for other intrinsically safe circuit conductors.
		Note: Unless the circuit was evaluated in that mode.
	f.	All V-belts are static conducting per Rubber Manufacturer's Association Technical Standards.
	g.	The magnesium content of any external aluminum alloy fans, pulleys, or other rotating devices does not exceed 0.6%.
	h.	The machine has a load-locking valve system that meets the following criteria:
		i. The load-locking valve must be attached directly to the cylinder port that is subject to the hydraulic pressure induced by the weight of the boom or cutting head, or directly to a section of steel tubing welded to the cylinder port and attached to the cylinder. In either case the load locking valve shall be attached directly to the cylinder in a manner that precludes disconnecting the line between the load locking valve and the cylinder without first detaching the load locking valve from the cylinder.

- ii. The rated working pressure of the load-locking valve must be greater than the maximum system operating pressure.
- iii. If the load-locking valve has overpressure relief capability, the overpressure relief setting shall be sufficient to allow proper operation of the load-locking valve.
- iv. If the load-locking valve is pilot operated, the hydraulic system shall be designed to ensure that the residual pilot pressure or line backpressure will not hold the load-locking valve open when the control valve is in the neutral position.
- v. Adequate hydraulic filtration shall be provided to ensure that the load-locking valve will operate properly throughout its normal service life, when the hydraulic system is subjected to rigorous everyday mining conditions.

Note: This statement must be signed (original signature) by a registered, professional engineer and must be submitted when the machine/system uses hydraulic cylinders to elevate cutting heads and conveyor booms on continuous miners and loading machines.

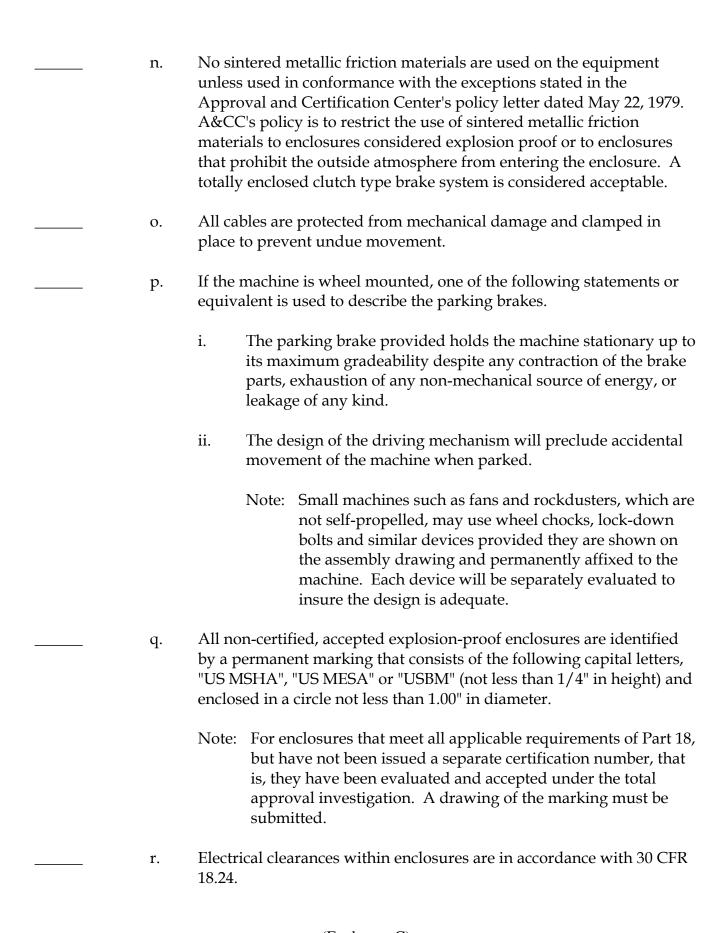
i. The voltage rating of all conductors and cables within the enclosures is compatible with the impressed voltage.
 j. All components on a common frame are solidly frame grounded when the intercomponent cable(s) to those components do not have separate grounding conductors.
 k. The trailing cable is MSHA accepted flame-resistant and all other electrical cables/cords are MSHA accepted flame-resistant or enclosed in MSHA accepted flame-resistant hose conduit.
 Note: This includes intrinsically safe cables.
 l. An MSHA accepted ground wire monitor will monitor the ground connection to the machine and components not on a common frame.

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All conveyor belting is fire resistant per 30 CFR, Section 18.65.

m.

Note: For machines rated in excess of 660 volts.



 S.	Splices between cable reel pigtails and the trailing cable shall be made in a workmanlike manner, mechanically strong, well insulated and located inby the strain clamp.

INVESTIGATION NO. MR-(leave blank)

DRAWING LIST

GHI Company
Model RB1, 440/550 Volt, 3 Phase, 60 Hertz, Alternating Current,
Roof Bolter with Integral Dust Collecting System
Built According to Assembly Drawing A-500
Maximum Tramming Speed - 1 mi/h
Approval No. 2G-(leave blank)-0

TITLE	<u>DRAWING</u>	PART NO.	REVISION
Assembly Drawing	A-500	-	A
Bill of Material	B-500, 3 Shts	-	A
Electric Diagram	C-500	-	A
(Alt.) Electric Diagram	D-500	-	A
Strain Clamp	*E-500	2	3
Caution Statement	F-500	-	D
Factory Inspection Form	G-500	-	E

^{*} VWX Company Drawing

FACTORY INSPECTION FORM

Date Inspected	
Inspector	<u> </u>
Designation	Serial No
Type/Model No	
	MOTOR
Manufacturer	H.P
Serial No.	Volts
Frame	
RPM	
Winding	
	STARTER
Manufacturer	Type/Model No
Serial No	
I	PORTABLE CABLE
Manufacturer	Voltage Rating
Size	
Type	Outer Diameter
No. of Conductors	MSHA Acceptance No
Are all packing glands properly packed between packing nut and stuffing box	ed so that 1/8-inch minimum clearance remains
Are lockwashers (or equivalent) prov	ided for all explosion-proof enclosure fastenings?
Are all plane joints securely fastened	so that a inch feeler gage cannot be inserted?
Are all threaded covers secured?	
Are all electrical connections secure a	nd properly insulated where necessary?
Do Not Change Without Approval o SJM Company Rev A.	
	(Enclosure E)

MNO Company, 550 Mining Road, Pittsburgh, PA 15201

January 15, 2008

MNO Company 550 Mining Road Pittsburgh, Pennsylvania 15201

SUBJECT: Model RB1 - Roof Bolter

Company Application Code No. 011508

I, John Bolter, Design Engineer, certify that the MNO Company will conduct regular inspections of the subject <u>roof-bolter</u> manufactured by MNO Company to insure that this product is made and assembled in strict accordance with the drawings and specifications approved by MSHA.

Sincerely,

John Bolter Design Engineer

CAUTION STATEMENT

To retain "permissibility" of this equipment the following conditions shall be satisfied:

- 1. General Safety. Frequent inspection shall be made. All electrical parts, including the portable cable and wiring, shall be kept in a safe condition. Special efforts shall be made to maintain cable routing paths free from mud, rock and other debris that could eventually cause cable damage. Cables shall be closely examined on a regular basis and damaged cables or protective hose conduits shall be replaced and the cause of the damage identified and corrected before the equipment is placed back into service. There shall be no openings into the casings of the electrical parts. A permissible distribution box shall be used for connection to the power circuit unless connection is made in fresh intake air. To maintain the overload protection of direct-current machines, the ungrounded conductor of the portable cable shall be connected to the proper terminal. The machine frame shall be effectively grounded. The power wires shall not be used for grounding except in conjunction with diode(s) or equivalent. The operating voltage shall match the voltage rating of the motor(s).
- 2. <u>Servicing</u>. Explosion-proof enclosures shall be restored to the state of original safety with respect to all flame arresting paths, lead entrances, etc. following disassembly for repair or rebuilding, whether by the owner or an independent shop.
- 3. <u>Fastenings</u>. All bolts, nuts, screws and other means of fastening, and also threaded covers, shall be in place, properly tightened and secured.
- 4. Renewals and Repairs. Inspections, repairs or renewals of electrical parts shall not be made unless the portable cable is disconnected from the circuit furnishing power, locked, and tagged out. The cable shall not be connected again until all parts are properly reassembled. Special care shall be taken in making renewals or repairs. Leave no parts off. Use replacement parts exactly like those furnished by the manufacturer. When any lead entrance is disturbed, the original leads or exact duplicates thereof shall be used and stuffing boxes shall be repacked in the approved manner. When machine cables are replaced or otherwise disturbed from their normal position, they shall be routed in the same manner as they were when the machine was shipped from the manufacturer. In addition, any clamps, conduit or guards that were in place to prevent cable damage shall be replaced.
- 5. <u>Cable Requirements</u>. A flame resistant portable cable bearing a MSHA assigned identification number, adequately protected by an automatic circuit-interrupting device shall be used. Special care shall be taken in handling the cable to guard against mechanical injury and wear. Splices in portable cables shall be made in a workmanlike manner, mechanically strong, and well insulated. Only one temporary splice may be made in any trailing cable. Such trailing cable may only be used for the next 24-hour period. No temporary splice shall be made in a trailing cable within 25 feet of the machine, except cable reel equipment. Connections and wiring to the outby end of the cable shall be in accordance with recognized standards of safety.

DO NOT CHANGE WITHOUT APPROVAL OF MSHA

JKL Company Date: November 21, 2007 Drawing No. 1894